

Lecture 27

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④

NBZ DAC

$e_j[n] = y[n]y[n-1] \cdot \frac{\Delta T_s(n)}{T}$  clocking uncertainty  
↳ iid  
↳ white process

variance  $\sigma_{e_j}^2 = \sigma_{dy}^2 \cdot \frac{\sigma_{\Delta T_s}^2}{T^2}$

$\sigma_{e_j}^2$  is dependent on input signal through  $y[n]$   
 $dy[n] = y[n] - y[n-1]$

⇒  $\sigma_{dy}^2 \approx \frac{\sigma_{LSB}^2}{\pi} \int_0^\pi |(1-e^{-j\omega})NTF(e^{j\omega})|^2 d\omega$   
 $\sigma_{LSB}^2 = \frac{\Delta^2}{12}$

Assumption: } modulator is linear  
 } quantization noise is additive } works well with a multiphase quantizer.

⇒ idle channel jitter noise  
 in-band noise due to jitter (  $\frac{\sigma_{\Delta T_s}^2}{T^2} \cdot \sigma_{dy}^2 \cdot \frac{1}{OSR}$  )

$J = \frac{\sigma_{\Delta T_s}^2}{T^2} \cdot \frac{\sigma_{LSB}^2}{\pi OSR} \int_0^\pi |(1-e^{-j\omega})NTF(e^{j\omega})|^2 d\omega$

⇒ J depends on the area  $A_J$  under the curve  
 $|NTF(e^{j\omega})|^2 d\omega$

⇒  $A_J = \int_0^\pi |NTF(e^{j\omega})|^2 d\omega$

in-band Quantization Noise

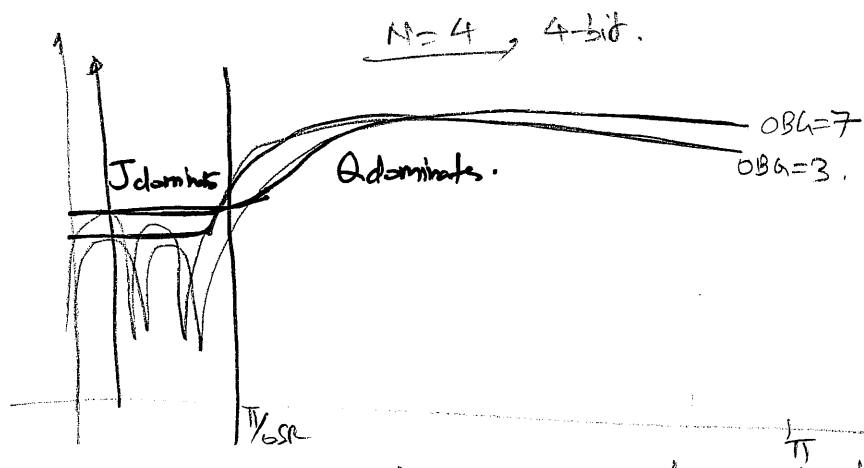
$Q = \frac{\sigma_{LSB}^2}{\pi} \int_0^{\frac{\pi}{OSR}} |NTF(e^{j\omega})|^2 d\omega$

⇒  $J$  for  $d$  mostly depends upon the out-of-band behavior of the NTF, as  $|NTF(e^{j\omega})|$  is small with the signal band and also it is HPA by  $|1 - e^{j\omega}|$ .

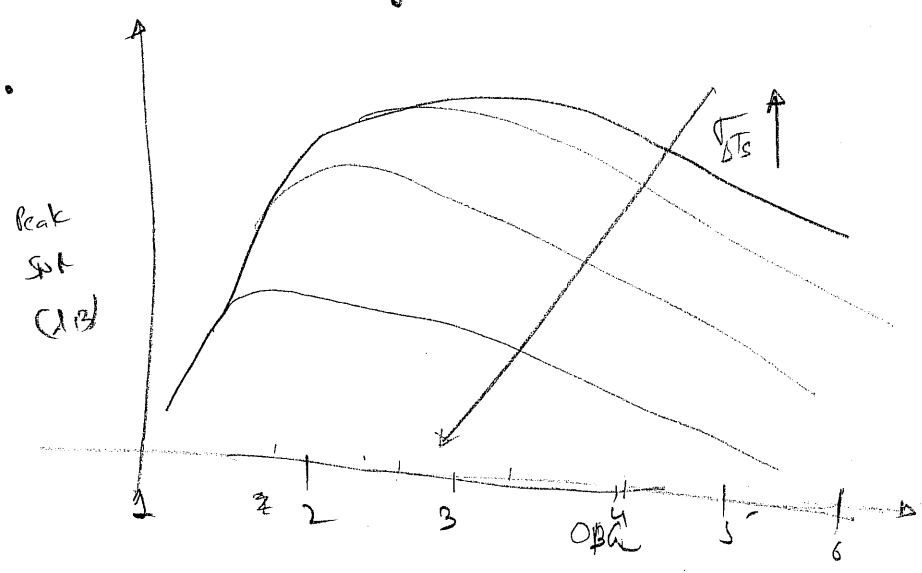
⇒  $Q$  depends upon the NTF within the signal bandwidth.

⇒ for a fixed order and maximally flat NTF.

OBG  $\uparrow$   $\rightarrow$   $Q \downarrow$  and  $J \uparrow$



⇒ Modulator with a lower OBG  $\rightarrow$  lower in-band noise dominated by the filter.



Small OBG  $\rightarrow$   $Q$  is large  $\rightarrow$  SNR is low

OBG  $\uparrow$   $\rightarrow$  SNR  $\uparrow$

for certain OBG,

$J \uparrow \rightarrow$  swamps in-band  $\rightarrow$  SNR  $\downarrow$

MSA  $\downarrow \rightarrow$  SNR  $\downarrow$

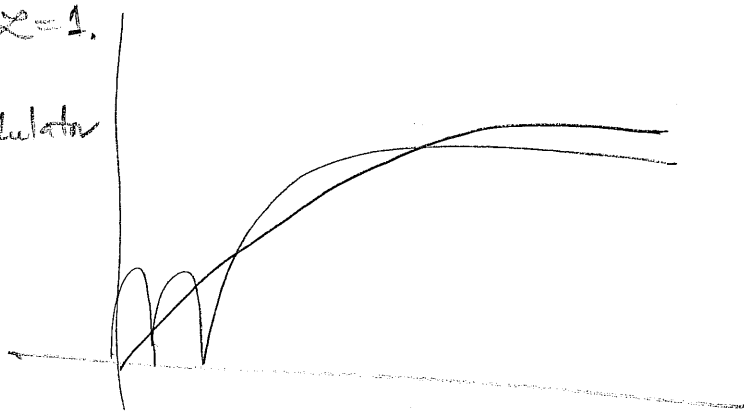
Optimum OBG\* where the SNR is maximum

OBG\* decreases

$\sigma_{\Delta}^2 \uparrow$ .

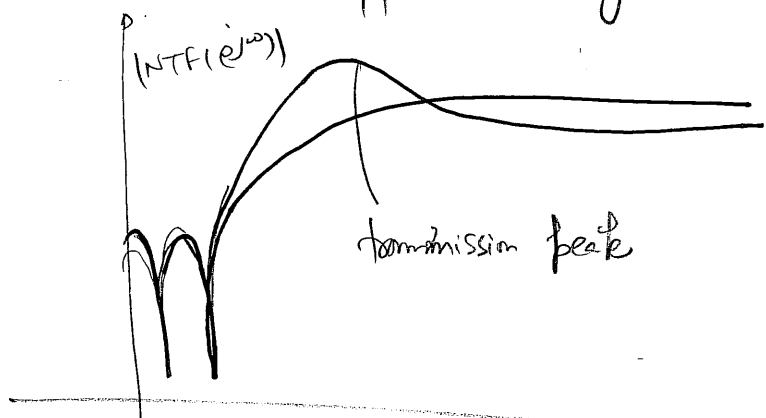
For a given  $Q$  and NTF order, the OBG for a modulator with optimally spread passband zeros, is smaller than that of an NTF with all zeros at  $z=1$ .

$\Rightarrow J$  is lower for a modulator with optimal NTF zeros.



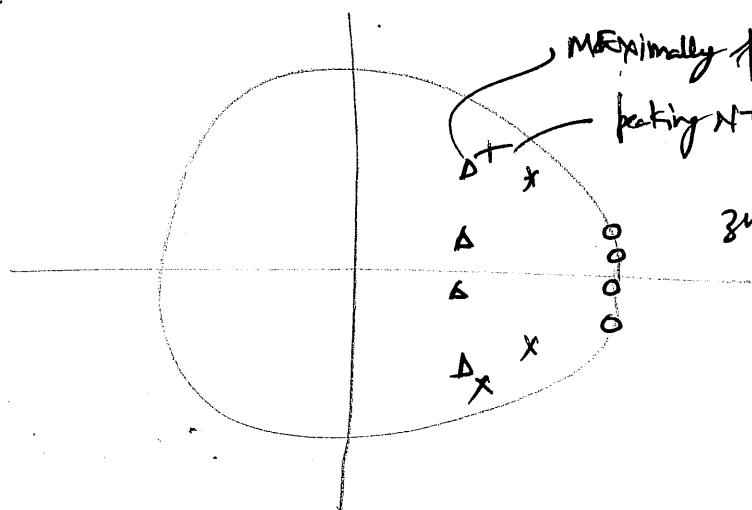
Now lets remove the constraint that the NTF needs to be maximally flat.

$\Rightarrow$  Many NTFs with the same in-band characteristics, but different out-of-band behavior possible.



\* Same NTF zeros but different pole locations

N=4



zeros are the same.

→ both have the same Q but J can be different as the out of band characteristics are not the same.

↳ Better mathematical understanding.

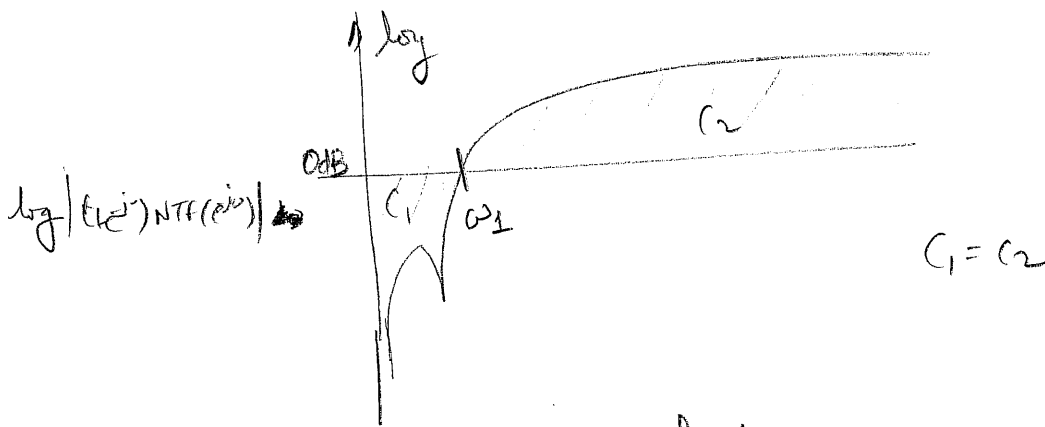
↳ Best: Positivity integral

we had:  $\int_0^\pi \log |NTF(e^{j\omega})| d\omega = 0$

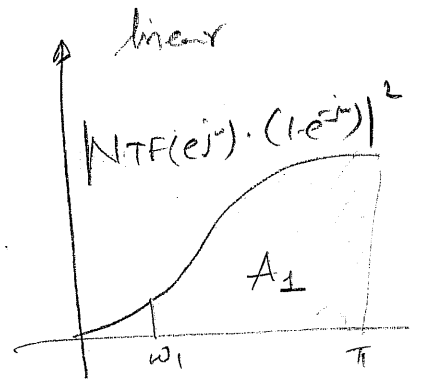
for a stable NTF with all zeros inside or on the unit circle.

→  $\int_0^\pi \log |NTF(e^{j\omega}) (1 - e^{j\omega})| d\omega = 0 \rightarrow \textcircled{1}$

→ in dB → do log11 plot the area above the 0dB line is equal to the area below 0dB line.



$\omega_1$  → cross over point.



→  $A_J \rightarrow \int_{\omega_1}^\pi |NTF(e^{j\omega}) (1 - e^{j\omega})|^2 d\omega$  ← the shaded area  $\rightarrow \textcircled{2}$

want to relate  $\textcircled{1}$  and  $\textcircled{2}$  somehow.  
 $\textcircled{1}$  → log11  
 $\textcircled{2}$  → linear with  $\| \cdot \|^2$

Using the AM > GM integral inequality

AG

NO AS

$$\int_a^b |f(x)|^2 dx \geq (b-a) \exp\left(\frac{2}{b-a} \int_a^b \log |f(x)| dx\right) \rightarrow \textcircled{3}$$

equality when  $|f(x)|$  is constant in  $[a, b]$   
 from  $\textcircled{3}$  &  $\textcircled{2}$  we have  $f(x) = (1 - e^{-j\omega}) \text{NTF}(e^{j\omega})$

$$\int_{\omega_1}^{\pi} |(1 - e^{-j\omega}) \text{NTF}(e^{j\omega})|^2 d\omega \geq (\pi - \omega_1) \exp\left(\frac{2}{\pi - \omega_1} \int_{\omega_1}^{\pi} \log |(1 - e^{-j\omega}) \text{NTF}(e^{j\omega})| d\omega\right)$$

Let  $c$  be the area above the  $0\text{dB}$  line of  $\log |\text{NTF}(e^{j\omega})(1 - e^{-j\omega})|$

$$\Rightarrow J > J_{\min}$$

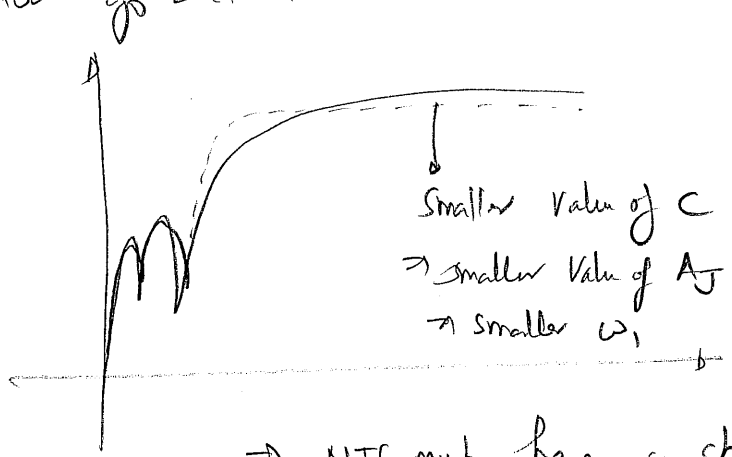
and

$$J_{\min} = \frac{\sigma_{\Delta T}^2}{T^2} \cdot \frac{\sigma_{\text{LOS}}^2}{\pi \text{OSR}} (\pi - \omega_1) \exp\left(\frac{2c}{\pi - \omega_1}\right)$$

↑ lower bound on the in-band jitter noise, for a given NTF and clock jitter

$\Rightarrow J > J_{\min} \propto \exp\left(\frac{c}{\pi - \omega_1}\right) \Rightarrow \begin{matrix} c \downarrow \\ \omega_1 \downarrow \end{matrix}$   
 $\Rightarrow$  exponentially proportional to the area above/below the  $0\text{dB}$  line.

Now go back to the two NTFs



$\Rightarrow$  NTF must have a sharp transition band for lower values of  $\omega_1$  and  $c$

Observation on the jitter bound derived above:

- $J_{min}$  is a tight bound.
  - ↳ almost an equality.
  - ↳ useful in design.
- $J_{min}$  is related to the two parameters of the NTF  $\rightarrow C, \omega_1$ .
  - ↳ more on this in a bit.

• NTF design for reduced jitter sensitivity.

$\Rightarrow$  'C' depends upon  $\omega_1$ .

$\Rightarrow$  By varying the locations of the NTF poles, (change  $\omega_1$ ) we can

adjustably distribute  $C$  in such a way so as to minimize  $A_1$

$$A_1 = \int_{\omega_1}^{\pi} |NTF(e^{j\omega})(1-e^{j\omega})|^2 d\omega$$

$\Rightarrow$  The equality in this equation occurs when  $|f(x)|$  is a constant.

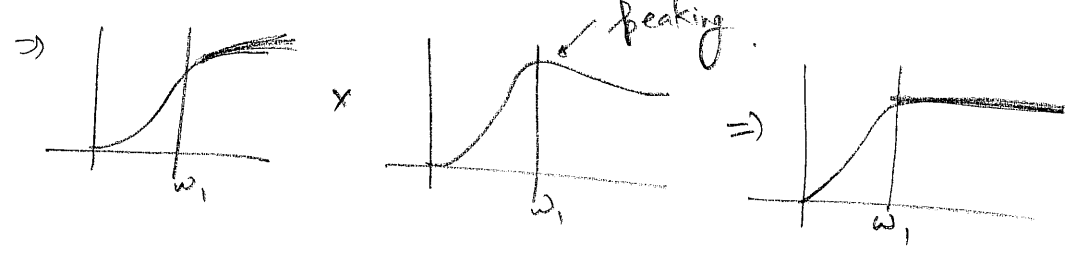
$\Rightarrow$   $NTF(e^{j\omega})(1-e^{j\omega}) = \text{constant}$  for  $\omega_1 < \omega < \pi$



• Since  $|1-e^{j\omega}|$  is monotonically increasing.

$\Rightarrow J = J_{min}$  when  $NTF(e^{j\omega})$  has peaking.

$$|1-e^{j\omega}| \times |NTF(e^{j\omega})| = \text{const}$$



• It turns out that a maximally flat NTF with a properly chosen OBL<sub>g</sub> does a good job of getting very close to the optimal filter value.

① Maximally flat NTF's

$$|NTF(e^{j\omega})| = \frac{\omega^l \prod_{i=1}^{N-l} (\omega - \omega_i)}{|D(e^{j\omega})|}$$

$\omega_i \Rightarrow$  zeros of transmission in the signal band.

$$\omega_i \ll \omega_1$$

$|D(e^{j\omega})| \approx$  constant in signal band. The range  $0 < \omega < \omega_1$

$$\text{let } k = \frac{1}{|D(e^{j\omega})|}$$

$$\Rightarrow |NTF(e^{j\omega})| \approx k \omega^l \prod_{i=1}^{N-l} (\omega - \omega_i) \quad \text{for } \omega \in [0, \omega_1]$$

$\therefore \omega_1 = ?$

$$\rightarrow \log \left| \underbrace{(1 - e^{j\omega_1})}_{\approx \omega_1} k \omega_1^l \prod_{i=1}^{N-l} \underbrace{(\omega_1 - \omega_i)}_{\omega_1} \right| = 0$$

$$\Rightarrow \log |k \omega_1^{N+1}| \approx 0$$

$$k \omega_1^{N+1} \approx 1$$

$$\Rightarrow \boxed{\omega_1 \approx \frac{1}{k^{1/(N+1)}}} \Rightarrow k \approx \frac{1}{\omega_1^{N+1}}$$

$$C \approx - \int_0^{\omega_1} \log |k \omega^{N+1}| d\omega = - \int_0^{\omega_1} \log \left| \frac{\omega}{\omega_1} \right|^{N+1} d\omega$$

$$= -(N+1) \int_0^{\omega_1} \log \left| \frac{\omega}{\omega_1} \right| d\omega = -(N+1) \omega_1 \int_0^1 \log |y| dy \quad \Rightarrow -1$$

$$= (N+1) \omega_1$$

$$\Rightarrow \boxed{C \approx (N+1) \omega_1}$$

$$\Rightarrow J \approx \frac{J_{DTL}}{T^2} \cdot \frac{\sigma_{LSB}^2}{\pi} \cdot \frac{(\pi - \omega_1)}{OSR} \exp\left(\frac{2(N+1)\omega_1}{\pi - \omega_1}\right)$$

$\Rightarrow$  only  $\omega_1$  is an indep parameter  
 $c = f(\omega_1)$  is eliminated.

Optimization:

The total inband noise can be reduced by minimizing

$$(J + \alpha Q) \quad \rightarrow \quad (\alpha - 1)Q \rightarrow \text{Thermal noise}$$

$\downarrow$   
 $\alpha = 3$

$$\Rightarrow \text{Total noise} \Rightarrow J + Q + \underbrace{(\alpha - 1)Q}_{\text{Thermal}}$$

$(\alpha - 1)$  is chosen such that thermal noise is several times larger than  $Q$   
 $\hookrightarrow$  reduce idle time by dithering  
 $\hookrightarrow$  perf is the ADC is only limited by the thermal noise not  $Q$ .

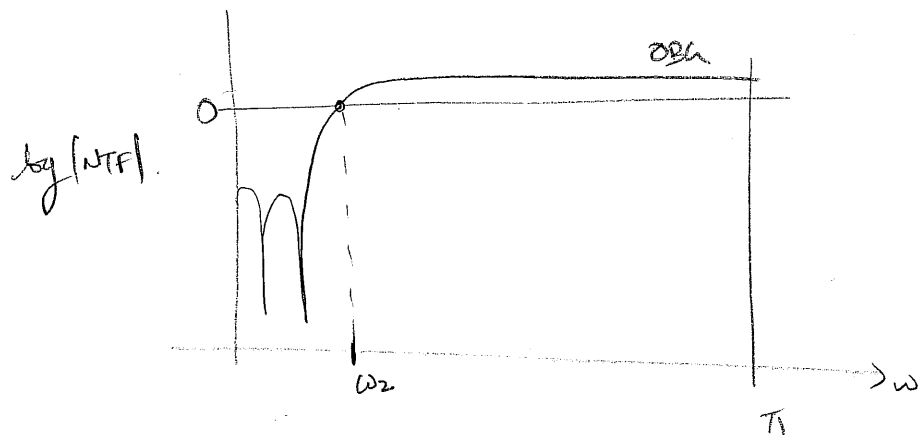
$$\Rightarrow Q \approx C_y \cdot \frac{\sigma_{LSB}^2}{\pi} \int_0^{\frac{\pi}{OSR}} \left(\frac{\omega}{\omega_1}\right)^{2N} d\omega$$

$C_y \rightarrow$  term depending upon the location of  $\omega_1$  within the signal (a correction factor)

$$= \frac{\sigma_{LSB}^2}{\pi} \cdot \frac{\pi^{2N+1}}{(2N+1)\omega_1^{2N+1} OSR^{2N+1}} \cdot C_z$$

$\Rightarrow$  both  $J$  &  $Q$  now depend upon a single parameter  $\omega_1$





Let  $\omega_2 \rightarrow$  freq where  $|NTF|$  goes to 0dB

recall  $\omega_1 \rightarrow$  freq where  $|(1 - e^{j\omega}) NTF|$  goes to 0dB.

we see that  $\omega_2 = \omega_1 \frac{N+1}{N}$

Also the area of  $\log|NTF|$  below 0dB line =  $N\omega_2$

Approx area above 0dB line =  $(\pi - \omega_2) \log(OBG)$ .

$$\Rightarrow \begin{cases} c_1 = c_2 \\ OBG^* = \exp\left(\frac{N\omega_1 \frac{N+1}{N}}{\pi - \omega_1 \frac{N+1}{N}}\right) \end{cases}$$

is the optimal OBG for a maximally flat NTF.

this gives

$$J_{max}^* = \frac{\sigma_{\Delta Tz}^2}{T^2} \cdot \frac{\sigma_{LSB}^2}{OSR} \cdot \frac{8Bn^2 \left(\tan\left(\frac{\omega_c}{2}\right)\right)}{\tan\left(\frac{\omega_c}{2}\right)} \cdot |(c_1 + c_2)|$$

$\omega_c \rightarrow$  3dB HP corner of the NTF.  
 $Bn \Rightarrow$  n<sup>th</sup> order Butterworth polynomial

$c_1, c_2 = f(\omega_c)$  see the paper.

# Multibit Modulators using RZ DACs :

$$e_j(n) = d_j(n) \left( \overset{\text{rising edge}}{\frac{\Delta T_{1j}(n)}{T}} + \overset{\text{falling edge}}{\frac{\Delta T_{2j}(n)}{T}} \right)$$

$$\sigma_{e_j}^2 = 4\sigma_y^2 \cdot \frac{1}{T^2} \cdot (\sigma_{\Delta T_{1j}}^2 + \sigma_{\Delta T_{2j}}^2) \quad \text{using } \sigma_{\Delta T_{1j}}^2 = \sigma_{\Delta T_{2j}}^2$$

the idle channel jitter noise

$$J_{RZ} = 8 \frac{\sigma_{\Delta T}^2}{T^2} \cdot \frac{\sigma_{LSR}^2}{\pi \text{OSR}} \int_0^{\pi} |NTF(e^{j\omega})|^2 d\omega$$

$$\frac{J_{RZ}}{J_{NRZ}} = 8 \cdot \frac{\int_0^{\pi} |NTF(e^{j\omega})|^2 d\omega}{\int_0^{\pi} |(1-e^{-j\omega})| |NTF(e^{j\omega})|^2 d\omega}$$

$$\left| (1-e^{-j\omega}) NTF(e^{j\omega}) \right|^2 < 4 |NTF(e^{j\omega})|^2$$

< 2

⇒ idle channel noise with RZ DAC is at least 2dB higher than with the NRZ DAC.

from simulation:  $\frac{J_{RZ}}{J_{NRZ}} \approx 4 - 5 \text{ dB}$  on average

↳ becomes worse in the presence of input signal

↳ -10dB for large signals.

⇒ RZ DAC in a multibit modulator leads to 'crossover' sensitivity when compared to NRZ DACs

## Final Discussion:

① J and Q tradeoff

↳ use complex, optimized zros  
↳ lowest OBL

② NTF shape

Usually maximally flat<sup>(MF)</sup> NTFs are used.

• An NTF with a "gentle" peak can reduce J when compared to a MF-NTF for the same Q.

↳ an optimum OBL ~~can be~~ with MF-NTF can get very close to the optimal value.

• minimize  $J + \alpha Q$  to get OBL\*

• peaking NTF with FF topology will give a peaking STF (nominally).

↳ reduce for STF peaking by using 'b's

③ Effect of Excess loop delay:

ELD leads to latency

↳ causes NTF peaking, if not compensated.

↳ small amount of ELD is beneficial as it reduces J →

↳ use it strategically.