## Homework 2 solutions

- 1. (10 + 5 = 15 points)
- (i) Define  $\text{Rev}(L) = \{x^R \mid x \in L \}$ . Note  $x^R$  is the reversal of the string x. Thus, e.g., if  $L = \{ab, aba, babb\}$  then  $\text{Rev}(L) = \{ba, aba, bbab\}$ .

Let  $L_1$  be a regular language that is recognized by a DFA  $M_1 = (Q_1, \Sigma, \delta_1, s_1, F_1)$ . Provide a construction (be precise) to create a DFA or an NFA to accept  $Rev(L_1)$ .

Intuitively, the idea is to 'reverse' the starting and final states as well as all the transitions in  $M_1$  to generate an NFA  $N_2$  which accepts  $\mathrm{Rev}(L_1)$ .

The reversal of the transitions causes  $\Delta_2(p, a) = \{ q \mid \delta_1(q, a) = p \}$  for each state  $p \in Q_1$  (note that  $\Delta_2(p, a)$  can be a set of states).

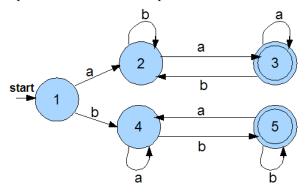
In order to have a single 'Start State' in  $N_2$ , a 'Start State' is added with  $\epsilon$ -transitions to each state in  $F_1$  such that  $\Delta_2$  ('Start State',  $\epsilon$ ) =  $F_1$ .

Ultimately, the construction of the NFA  $N_2$  that will accept  $Rev(L_1)$  is as follows:  $N_2 = (Q_2, \Sigma, \Delta_2, s_2, F_2)$  where:

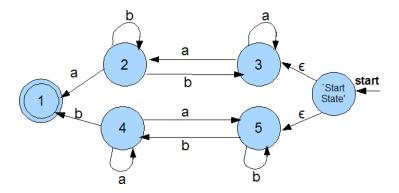
$$Q_2=Q_1$$
 U 'Start State' 
$$\Sigma=\Sigma$$
  $\Delta_2;$  as described above 
$$s_2=\text{'Start State' (which has $\epsilon$ transitions to each state in $F_1$)} \\ F_2=\{s_1\}$$

(ii) Apply your construction to the DFA given below.

Input DFA M<sub>1</sub> which accepts L:



NFA N<sub>2</sub> that accepts Rev(L) where L is accepted by the input DFA M<sub>1</sub>:



2. (10 X 3 = 30 points)

Give regular expressions that denote the following sets:

a. The set of strings where the third last symbol is an 'a'. Here,  $\Sigma = \{a, b\}$ .

Strings in this set start with any number  $\geq 0$  of a's or b's in any order; this is represented by  $(a \mid b)^*$ . Then, the third to last symbol in the string must be an 'a', represented by 'a'. Finally, the last two symbols can be either a or b, represented by  $(a \mid b)(a \mid b)$ .

Resulting regular expression:  $(a \mid b)*a(a \mid b)(a \mid b)$ 

b. The set of strings of the form  $w_1cw_2$  where  $w_1$  and  $w_2$  belong to  $\{a, b\}^*$  and  $w_2$  contains a 'b' if and only if  $w_1$  has at least 2 occurrences of 'a'.

First, look at the case where w<sub>1</sub> has at least 2 occurrences of 'a', so w<sub>2</sub> contains a 'b':

 $w_1$  with at least 2 occurrences of 'a' begins with any number  $\geq 0$  of a's or b's in any order; this is represented in a regular expression by  $(a \mid b)^*$ . Then 'must' contain an 'a', which is represented as an 'a' in a regular expression.

Then there is another set of any number  $\geq 0$  of a's or b's in any order, another 'a', and finally a third set of any number  $\geq 0$  of a's or b's in any order.

The regular expression for  $w_1$  here is  $(a \mid b)*a(a \mid b)*a(a \mid b)*$ .

Next,  $w_2$  with a 'b' begins with any number  $\geq 0$  of a's or b's in any order, then it 'must' contain an 'b', then concludes another set of any number  $\geq 0$  of a's or b's.

The regular expression for  $w_2$  here is  $(a \mid b)*b(a \mid b)*$ .

The regular expression for the 'c' between  $w_1$  and  $w_2$  is simply 'c'.

Therefore, the complete regular expression for this 'first' case is  $(a \mid b)*a(a \mid b)*a(a \mid b)*c(a \mid b)*b(a \mid b)*$ ).

Next, look at the case where  $w_1$  has  $\leq 2$  occurrences of 'a', so  $w_2$  does not contain any b's:

 $w_1$  with  $\leq 2$  occurrences of 'a' begins with any number  $\geq 0$  of b's; this is represented in a regular expression by b\*. Next, it can contain an 'a' but doesn't have to; this is represented by  $(a \mid \epsilon)$  in a regular expression, with  $\epsilon$  corresponding to an empty string.  $w_1$  in this case concludes with  $\geq 0$  b's, represented by b\*.

The regular expression for  $w_1$  here is  $b^*(a \mid \epsilon)b^*$ .

 $w_2$  without 'b' simply contains any number  $\geq 0$  of a's, represented by a\* in a regular expression.

The regular expression for the 'c' between w<sub>1</sub> and w<sub>2</sub> is simply 'c'.

Therefore, the complete regular expression for this 'second' case is  $b^*(a \mid \epsilon)b^*ca^*$ .

The final regular expression is simply a disjunction of the two cases, resulting in:  $((a \mid b)*a(a \mid b)*a(a \mid b)*c(a \mid b)*b(a \mid b)*) \mid (b*(a \mid \epsilon)b*ca*)$ 

c. The set of strings that do not contain the substring 'ab'. Here,  $\Sigma = \{a, b\}$ .

A string without the substring 'ab' cannot contain any 'b' once there has been an 'a', resulting in the regular expression 'b\*a\*' where the string can contain any number of b's followed by any number of a's.

Resulting regular expression: b\*a\*

- 3. (10 X 3 = 30 points) Use the pumping lemma to show that the following sets are not regular.
- a. Set  $A = \{a^l b^m c^n \mid l=100, m > l, n > m \}$

Given the 'demon's' k value...(see p. 71 of book) Let  $xyz = a^{100}b^{k+100}c^{k+100+1} \in set A$ 

Let  $x = a^{100}$ ,  $y = b^{k+100}$ ,  $z = c^{k+100+1}$ 

Now v in y = uvw must contain at least 1 'b' since y only contains b's and v cannot be empty Note that m = k + 100 and n = k + 100 + 1, so n = m + 1 when i=1.

Now let i = 2.

The presence of i=2 forces the addition if at least 1 'b' to y,

so now  $m \ge (k + 100 + 1) \rightarrow m \ge n$ .

Therefore, it is no longer the case that n > m.

And therefore,  $xuv^iwz \notin set A$  when i = 2

Therefore, the set is not regular.

b. The set A of strings of the form ww where  $w \in \{a, b\}^*$ .

Thus abbaabba is included in this set but abba is not.

Given the 'demon's' k value...(see p. 71 of book)

Let  $xyz = a^k b^k a^k b^k \in set A$ 

Let  $x = a^k b^k a^k$ ,  $y = b^k$ ,  $z = \epsilon$ 

Now v in y = uvw must contain at least 1 'b' since y only contains b's and v cannot be empty.

Now when i = 2,  $uv^2w = b^j$  where j > k

Since  $a^k b^k a^k b^j \notin \text{language when } i > k$ ,  $xuv^i wz \notin \text{set } A \text{ when } i = 2$ .

Therefore the set is not regular.

c. The set A of strings of the form  $a^{n1}b^{n2}c^{n3}d^{n4}$ , where n1 = n3 or n2 = n4.  $(n1, n2, n3, n4 \ge 1)$ 

Given the 'demon's' k value...(see p. 71 of book)

Let  $xyz = a^k b^{k+1} c^k d^{k+2} \in set A$ 

Let  $x = \epsilon$ ,  $y = a^k$ ,  $z = b^{k+1}c^kd^{k+2}$ 

Now v in y = uvw must contain at least 1 'a' since y only contains a's and v cannot be empty...

Now when i = 2,  $y = uv^2w = a^j$  where j > k

Since  $a^{j}b^{k+1}c^{k}d^{k+2} \notin \text{set A when } j > k$ ,  $xuv^{i}wz \notin \text{set A when } i = 2$ .

Therefore, the set A is not regular.

4. (15 points) Exercise 47 (Parts a and b only) on Page 326 of the textbook.

Minimize the following DFAs. Indicate clearly which equivslence class corresponds to each state of the new automaton.

## Part a:

	a	b
1	6	3
2	5	6
3F	4	5
4F	3	2
5	2	1
6	1	4

Using algorithm in book (see p. 84) for computing the collapsing relation  $\approx$ 

for a given DFA M with no inaccessible states. The algorithms marks (unordered) pairs of states  $\{p, q\}$ , where a pair  $\{p, q\}$  is marked as a reason is discovered as to why p and q are NOT equivalent.

```
1 - 2 - 3 - - 4 - - 5 - - 6
```

Pass 1: mark all pairs consisting of once accept state and one nonaccept state.

Repeat until no changes: if there is an unmarked pair  $\{p, q\}$  such that  $\{\delta(p, a), \delta(q, a)\}$  is marked for some  $a \in \Sigma$ , then mark  $\{p, q\}$ .

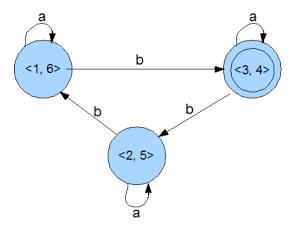
```
Mark \{1, 2\} since it goes to marked pair \{3, 6\} on input 'b' Mark \{5, 1\} since it goes to marked pair \{1, 3\} on input 'b' Mark \{6, 2\} since it goes to marked pair \{5, 1\} on input 'a' Mark \{6, 5\} since it goes to marked pair \{1, 2\} on input 'a'
```

Table now looks as follows; no more values can be marked...

```
1
Χ
   2
Χ
   X
       3
Χ
   Χ
           4
X
       {\bf X}
           Χ
              5
           Χ
       Χ
              X 6
```

Therefore, it is the case that state  $1 \approx \text{state } 6$ , state  $2 \approx \text{state } 5$ , and state  $3 \approx \text{state } 4$ .

Here is the resulting minimized DFA:



Part b:

	a	b
1	2	3
2	5	6
3F	1	4
4F	6	3
5	2	1
6	5	4

Again using algorithm in book (see p. 84) for computing the collapsing relation  $\approx$  for a given DFA M with no inaccessible states. The algorithms marks (unordered) pairs of states  $\{p,\,q\},$  where a pair  $\{p,\,q\}$  is marked as a reason is discovered as to why p and q are NOT equivalent.

Pass 1: mark all pairs consisting of once accept state and one nonaccept state.

```
1 - 2 

X X 3 

X X - 4 

- - X X 5 

- - X X - 6
```

Repeat until no changes: if there is an unmarked pair  $\{p, q\}$  such that  $\{\delta(p, a), \delta(q, a)\}$  is marked for some  $a \in \Sigma$ , then mark  $\{p, q\}$ .

```
Mark {1, 2} since it goes to marked pair {3, 6} on input 'b' Mark {5, 1} since it goes to marked pair {1, 3} on input 'b' Mark {6, 2} since it goes to marked pair {5, 1} on input 'a' Mark {6, 5} since it goes to marked pair {1, 4} on input 'a'
```

Table now looks as follows; no more values can be marked...

Therefore, it is the case that state  $1 \approx \text{state } 6$ , state  $2 \approx \text{state } 5$ , and state  $3 \approx \text{state } 4$ .

Here is the resulting minimized DFA:

