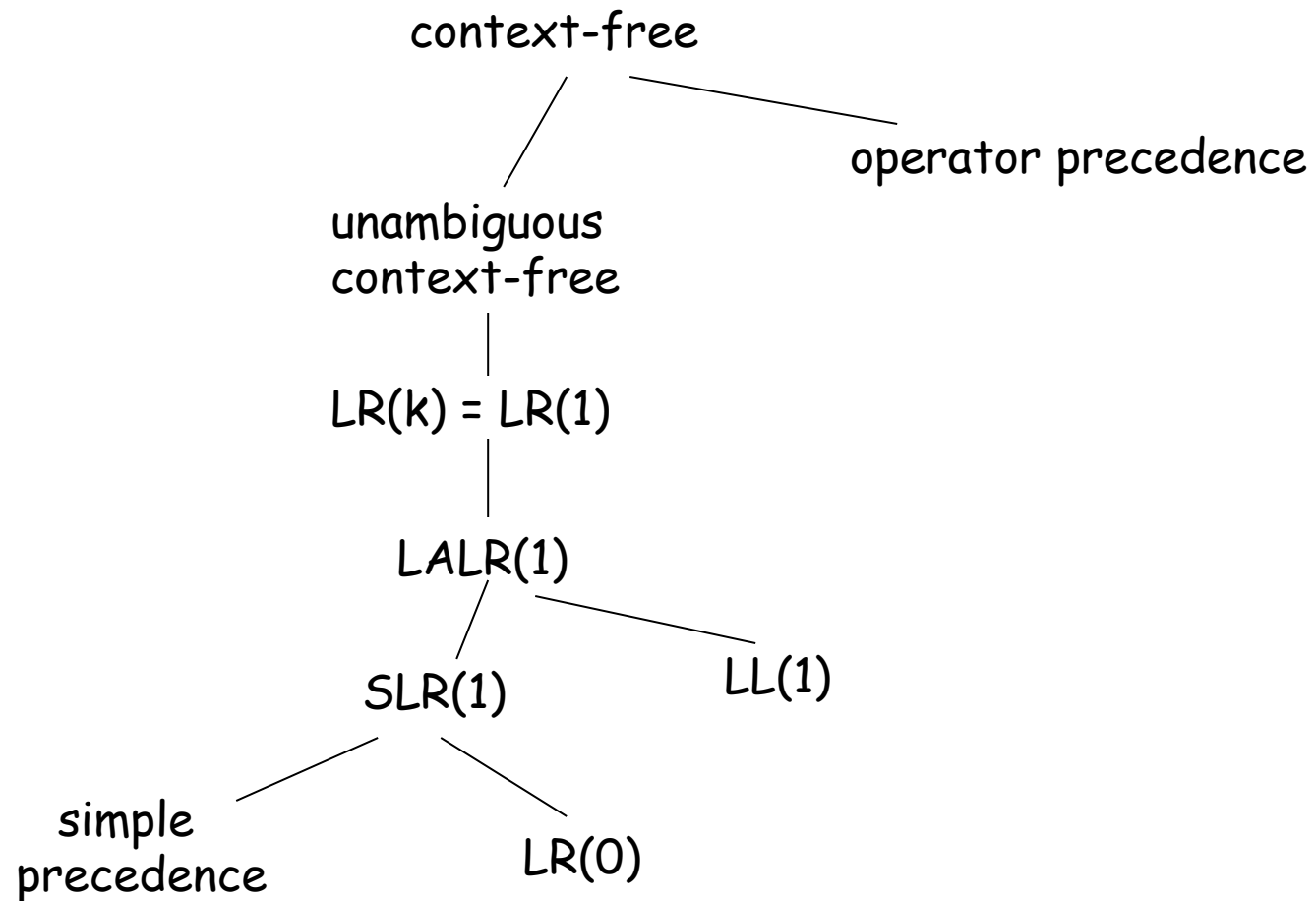


# Grammar Class Inclusion Tree



# Table-driven Bottom-up Parsing

- Start at the leaves and grow toward root
- Bottom-up parsers handle a large class of grammars
- Most prevalent is based on LR(k)
- Why LR Parsing ?
  - Recognize many programming languages
  - Detect Syntax Errors
  - No backtracking

# Bottom Up Parsing

## Shift and Reduce

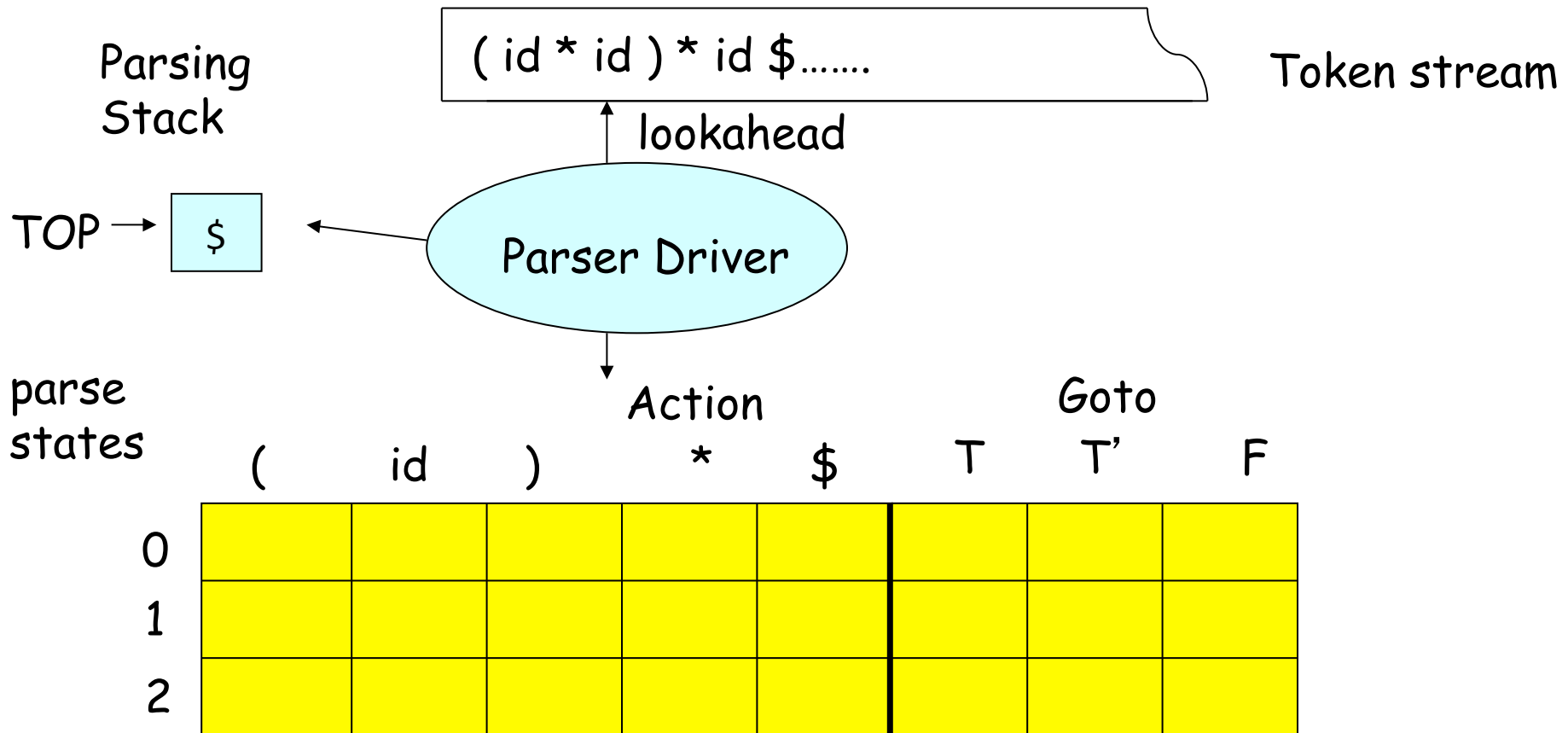
Consider:  $S \rightarrow bMb$   
 $M \rightarrow (L \mid a$   
 $L \rightarrow M a )$

String: bab

<u>Stack:</u>	<u>Input and Current Lookahead:</u>
\$	bab\$

- Terminology: Handle: a substring  $\beta$  of the tree's frontier that
  - matches some production  $A \rightarrow \beta$  that occurs as one step in the rightmost derivation

# Table-driven Bottom-up Parsing Overview



Table[state,terminal] =

- shift token and state onto stack.
- reduce by production  $A \rightarrow \beta$   
     pop rhs from stack; push  $A$ ; push next state  
     given by  $Goto[exposed\ state, A]$
- accept
- error

# LR Parsing Example

- 1:  $P \rightarrow b S e$
- 2:  $S \rightarrow a ; S$
- 3:  $S \rightarrow b S e ; S$
- 4:  $S \rightarrow \epsilon$

Parse Table

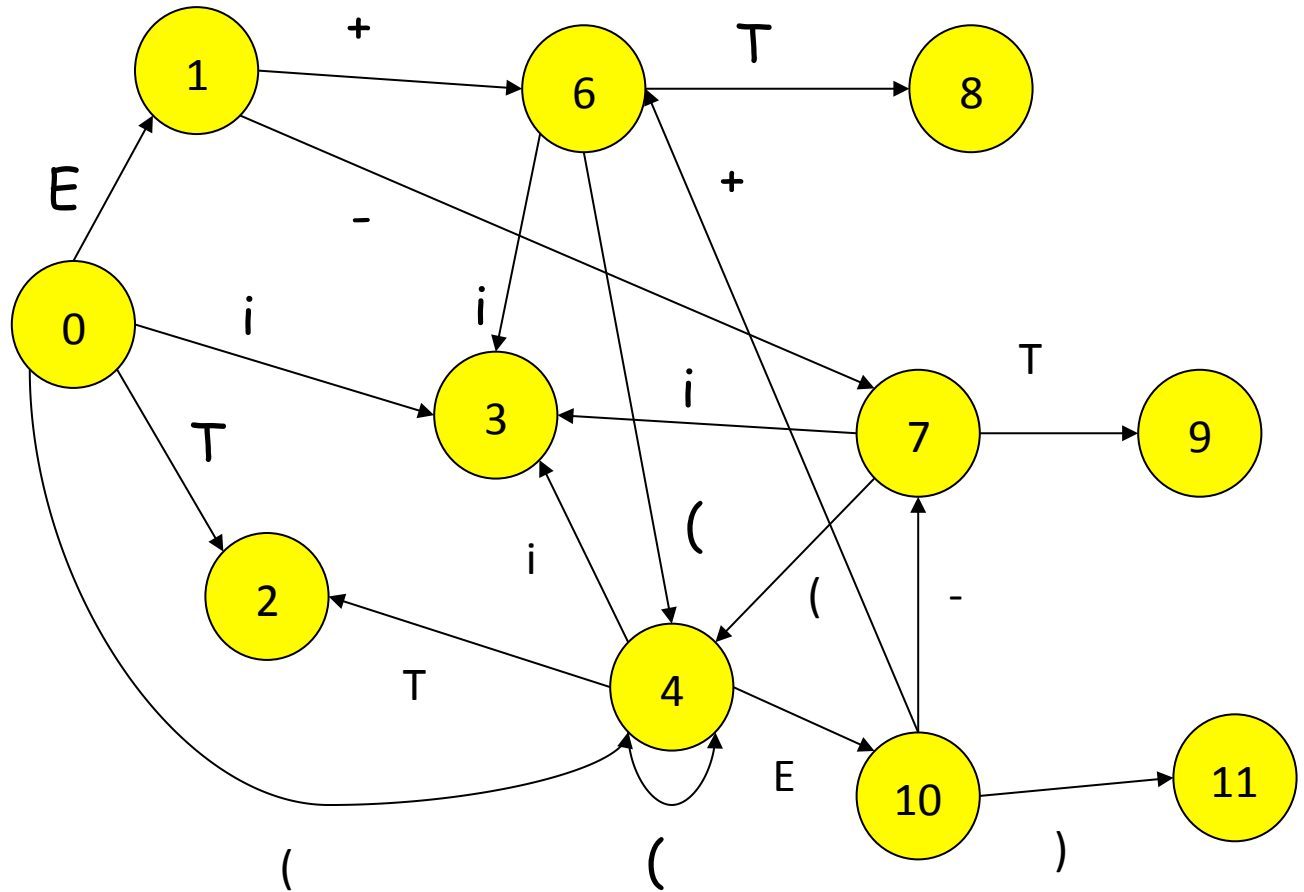
Stack	Input	state	b	e	a	;	\$	P	S
		0		s1					
0	ba;a;e\$	1		s4	r4	s5			2
0b1	a;a;e\$	2			s3				
0b1a5	;a;e\$	3					accept		
0b1a5;6	a;e\$	4		s4	r4	s5			7
0b1a5;6a5	;e\$	5					s6		
0b1a5;6a5;6	e\$	6		s4	r4	s5			10
0b1a5;6a5;6S10	e\$	7			s8				
0b1a5;6S10	e\$	8					s9		
0b1S2	e\$	9		s4	r4	s5			11
0b1S2e3	\$	10			r2				
accept!		11			r3				

# DFA for Parser

$S \rightarrow E$   
 $E \rightarrow T \mid E + T \mid E - T$   
 $T \rightarrow I \mid (E)$

## Reduce States:

3:  $T \rightarrow i$   
 2:  $E \rightarrow T$   
 8:  $E \rightarrow E + T$   
 9:  $E \rightarrow E - T$   
 11:  $T \rightarrow (E)$   
 1: (on \$)  $S \rightarrow E$



<u>stack</u>	<u>input</u>
0	i-(i+i)\$
0i3	-(i+i)\$
0T2	-(i+i)\$
...	

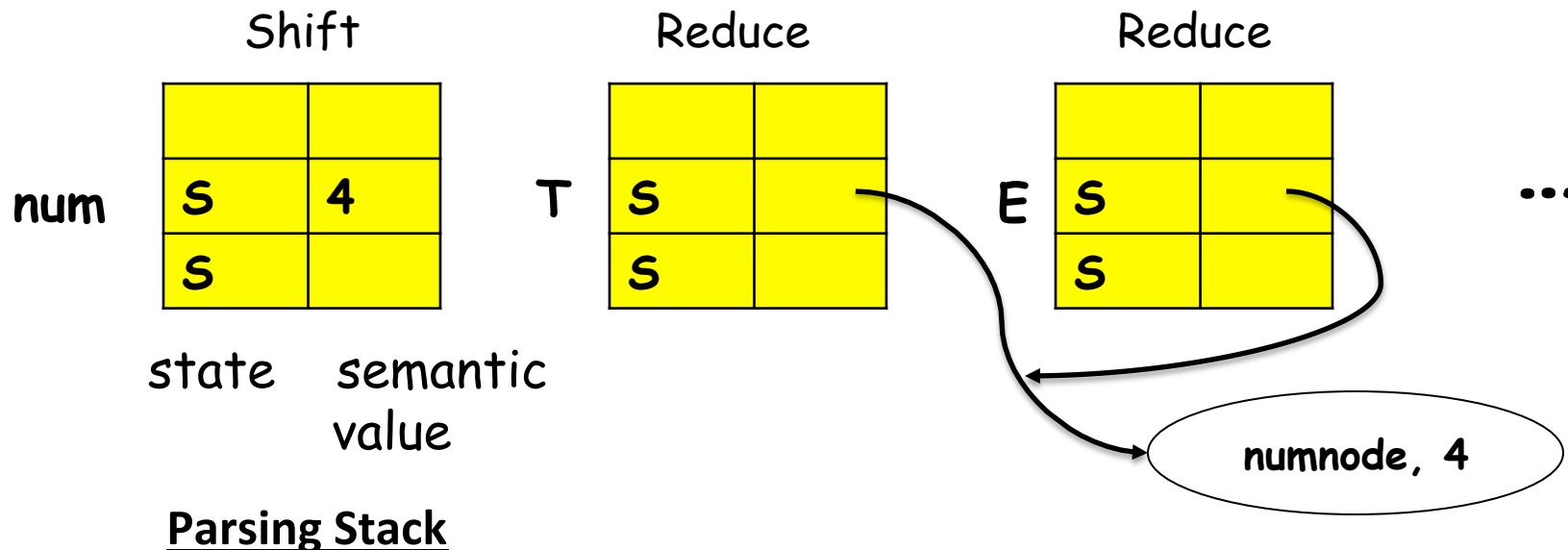
# Semantic Actions during Parsing

**BISON (Parser generator) example definition:**

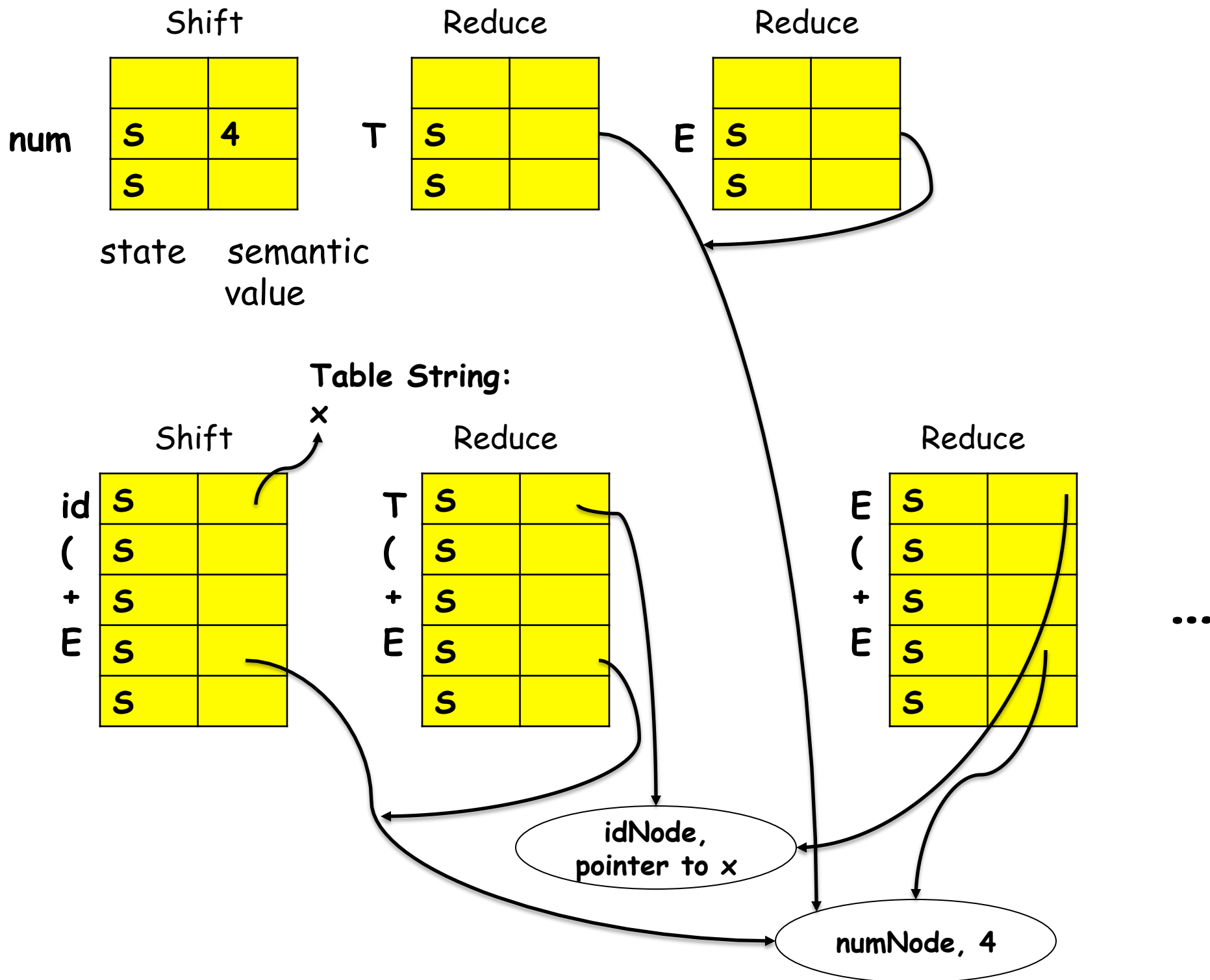
```

S -> E      { $$ = $1; root = $$; }
E -> E + T  { $$ = makenode('+', $1, $3); } // E is $1, + is $2, T is $3
E -> E - T  { $$ = makenode('-', $1, $3); }
E -> T      { $$ = $1; } // $$ is top of stack
T -> ( E )  { $$ = $2; }
T -> id     { $$ = makeleaf('idnode', $1); }
T -> num    { $$ = makeleaf('numnode', $1); }
    
```

Now Consider parsing: **4 + ( x - y )**



Consider parsing:  $4 + ( x - y )$





# Building LR(0) and SLR(1) Parse Tables

## 1. Augment grammar

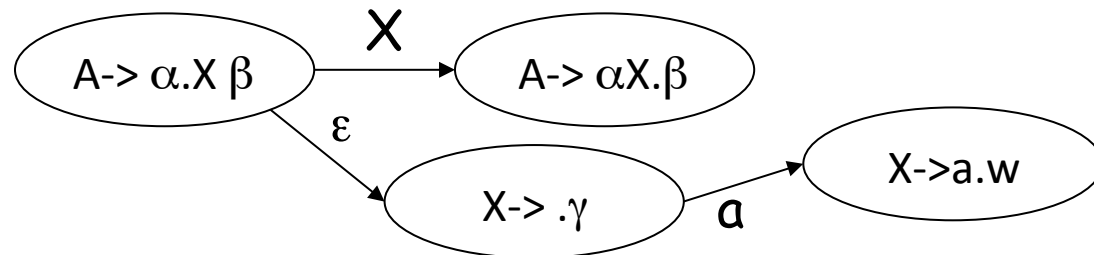
- Add a production  $S' \rightarrow S$ , where  $S$  is original start state
- Causes one ACCEPT table entry when reduce  $S' \rightarrow S$  on \$.

## 2. Create DFA from grammar

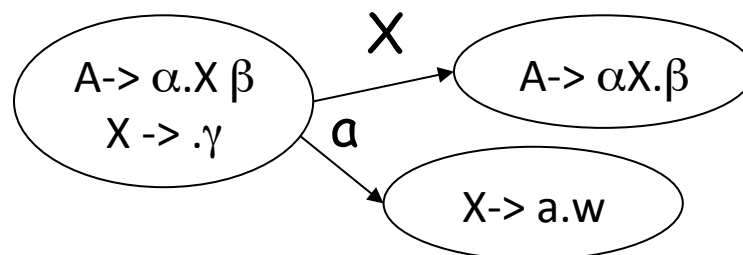
item  $A \rightarrow \alpha . \beta$

- just seen a string derivable from  $\alpha$
- expect to see a string derivable from  $\beta$

**NFA**: Each state represents a set of recognized viable prefixes (kernel set of items)



**DFA**: Subset construction to go from NFA to DFA = closure(kernel)



# Items and States

LR(0) item - of a grammar  $G$  is a production of  $G$  with a dot at some position of the body

For example:  $A \rightarrow XbZ$

All possible items are:

$A \rightarrow \cdot XbZ$

$A \rightarrow X \cdot bZ$

$A \rightarrow Xb \cdot Z$

$A \rightarrow XbZ \cdot$

# Closure(item set I)

Given a set of kernel items I for a DFA state,

$$\text{Closure}(I) = \left\{ \begin{array}{l} \text{kernel items } I \\ \text{if } A \rightarrow \alpha.B\beta \text{ in } I \text{ and } B \rightarrow \gamma \\ \text{then add } B \rightarrow .\gamma \text{ to } I \end{array} \right.$$

Intuitively, we expect to see strings derivable from all nonterminals immediately to the right of the dot in any item in I.

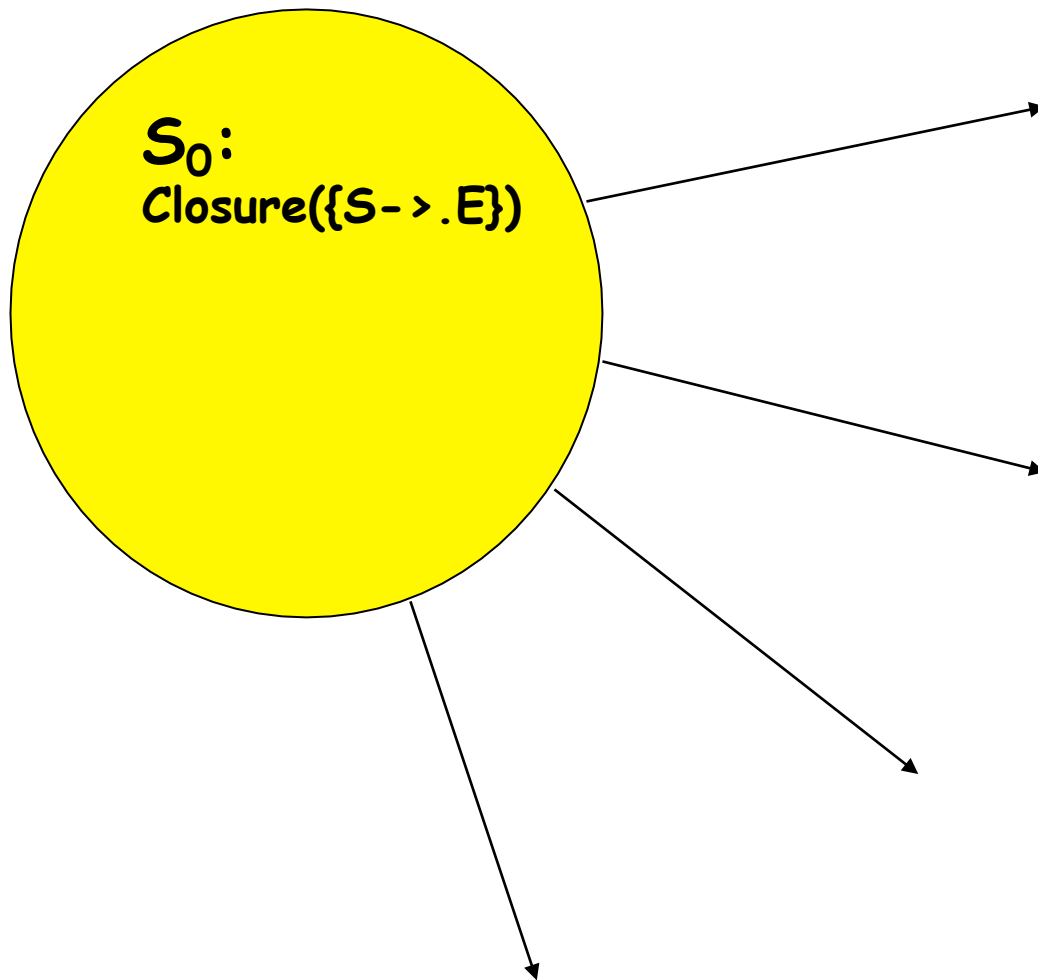
Example:  $S \rightarrow E$   
 $E \rightarrow T \mid E + T \mid E - T$   
 $T \rightarrow i \mid (E)$

Let  $I = \{S \rightarrow .E\}$   
Closure(I) =

Let  $I = \{E \rightarrow E+.T\}$   
Closure(I) =

# Example of DFA Construction

Grammar:  $S \rightarrow E$   
 $E \rightarrow T \mid E + T \mid E - T$   
 $T \rightarrow i \mid (E)$



# DFA Construction Algorithm

$S_0 = \text{Closure}(\{S' \rightarrow .S\});$

Todo =  $\{S_0\};$

WHILE Todo not empty DO

    Remove an item set (ie, state)  $S_i$  from Todo;

    FOR each grammar symbol  $X$  DO FOR each  $A \rightarrow$

$\alpha.X\beta$  in  $S_i$  DO

$S_{\text{new}} = \text{Closure}(A \rightarrow \alpha X .\beta);$

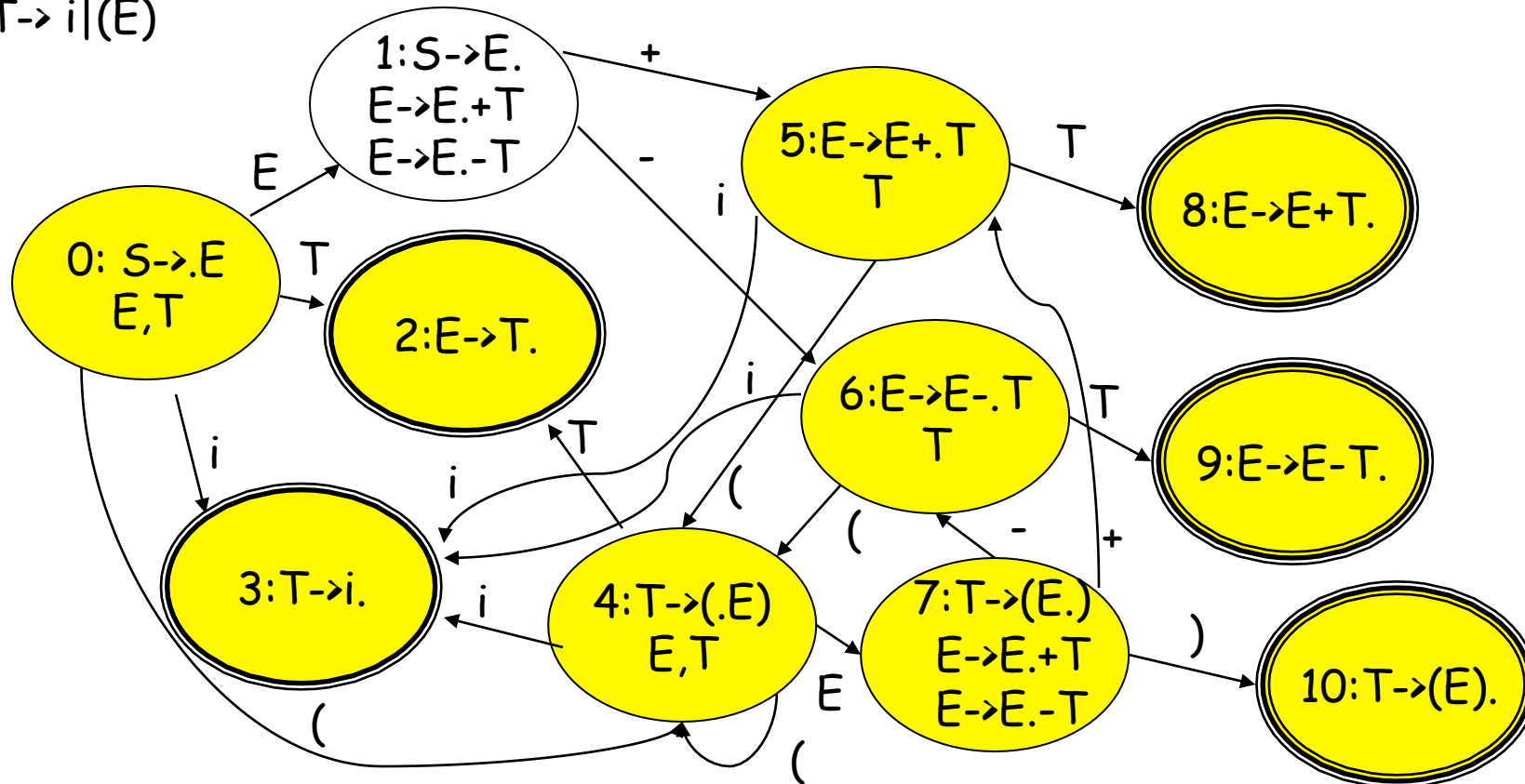
            If  $S_{\text{new}}$  is unique thus far,

                then Add  $S_{\text{new}}$  to DFA Add  $S_{\text{new}}$  to  
                    Todo;

            Add edge  $S_i \rightarrow S_{\text{new}}$  labeled by  $X$

# Final DFA for Example

$S \rightarrow E$   
 $E \rightarrow T \mid E+T \mid E-T$   
 $T \rightarrow i \mid (E)$

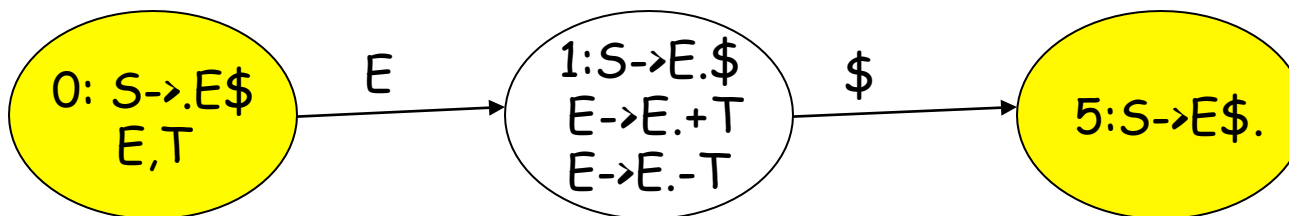


**LR(0) grammar** = DFA with no inadequate states, where inadequate state has shift/reduce or reduce/reduce conflict (e.g., state 1 is inadequate above)

**SLR(1) grammar** = Can resolve any inadequate states by FOLLOW info:  
 $A \rightarrow \alpha \cdot$  and  $B \rightarrow \beta \cdot X \delta$  in same state, but  $\text{FOLLOW}(A) \cap \{X\}$  is empty.  
 $A \rightarrow \alpha \cdot$  and  $B \rightarrow \beta \cdot$  in same state, but  $\text{FOLLOW}(A) \cap \text{FOLLOW}(B) = \emptyset$

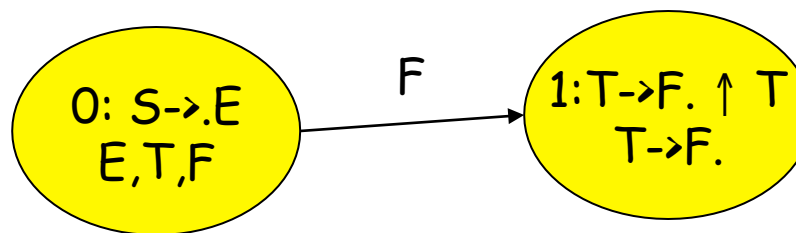
# Examples showing LR(0) versus SLR(1)

To convert previous grammar to LR(0): Replace  $S \rightarrow E$  by  $S \rightarrow E\$$



Now consider Grammar:

$S \rightarrow E$   
 $E \rightarrow E - T \mid T$   
 $T \rightarrow F \uparrow T \mid F$   
 $F \rightarrow (E) \mid i$



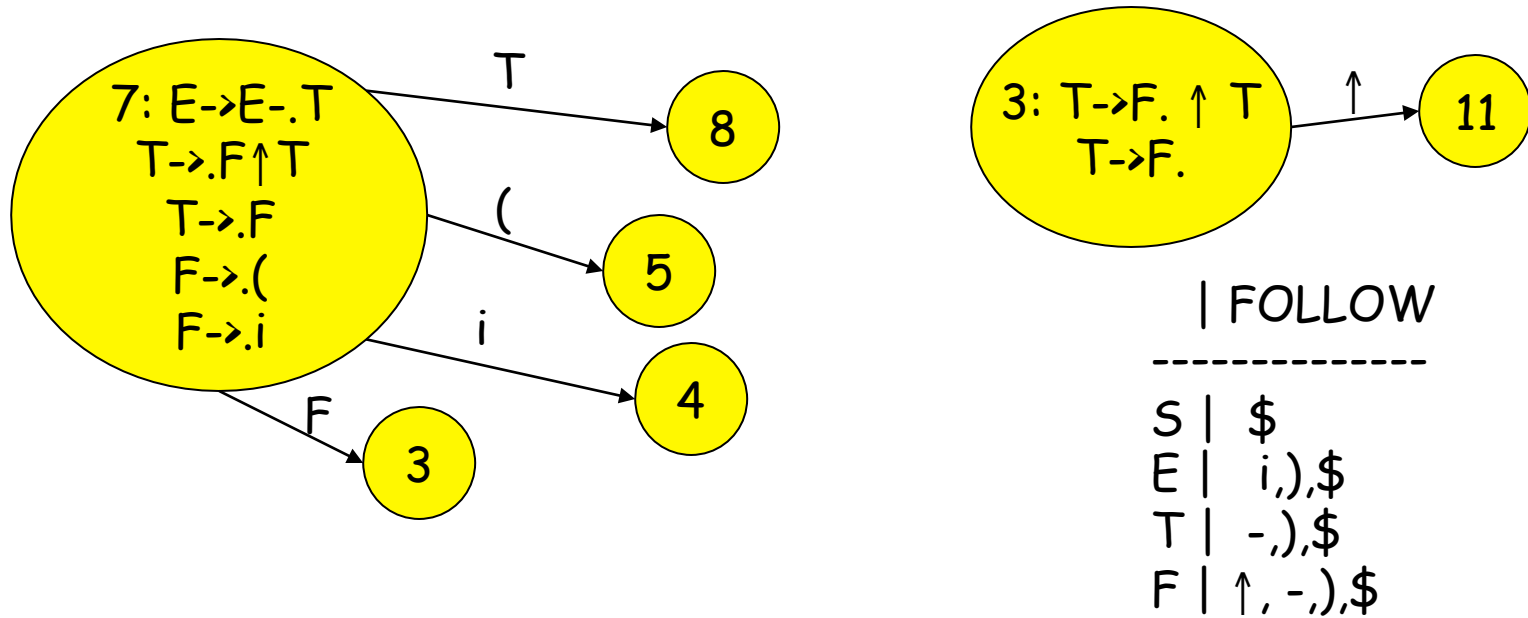
State 1 is inadequate, so **not LR(0)**

Is it SLR(1)?

-  $FOLLOW(T) = \{-, \cdot, \$\}$

-  $FOLLOW(T) \cap \{\uparrow\}$  is empty, so it is **SLR(1) Grammar**

# From DFA to SLR(1) Parse Table



	FOLLOW
S	\$
E	i), \$
T	-, ), \$
F	↑, -, ), \$

state	i	-	↑	(	)	\$		S	E	T	F
3											
7											



# Is the grammar LR(0), SLR(1)?

LR(0):

- construct parse table with no lookahead/FOLLOW info  
If there are no multidefined entries, then LR(0)
- construct DFA. If there are no inadequate states, then LR(0).

SLR(1):

- construct parse table with FOLLOW info  
If there are no multidefined entries, then SLR(1)
- construct DFA. If there are no inadequate states, or  
for each inadequate state of the form:

$A \rightarrow \alpha.$   
 $B \rightarrow \beta.$

$\text{FOLLOW}(A) \cap \text{FOLLOW}(B)$  is empty,

AND

$A \rightarrow \alpha.$   
 $B \rightarrow \beta.a\gamma$

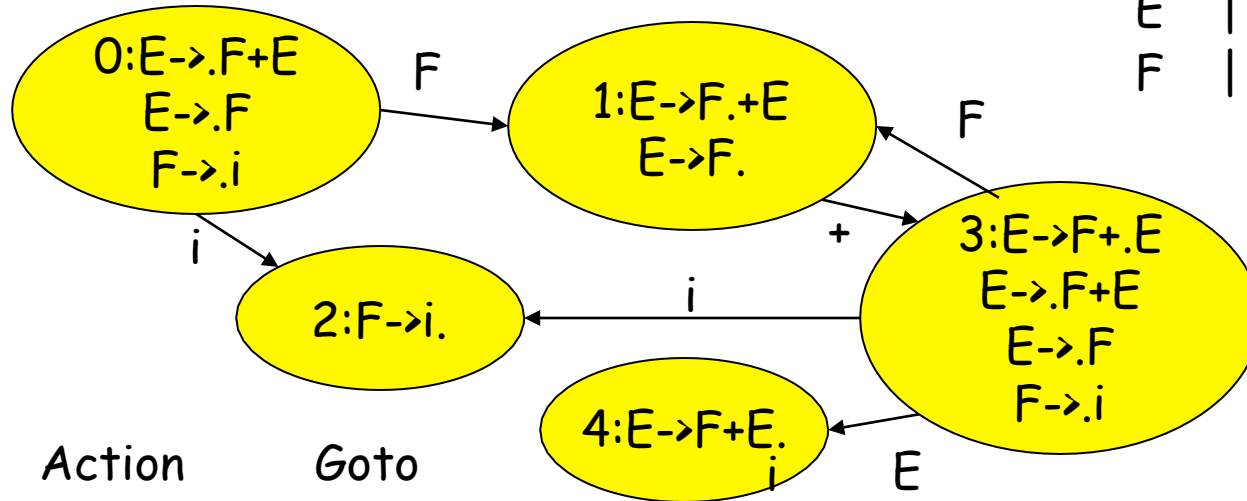
$\text{FOLLOW}(A) \cap \{a\}$  is empty

THEN SLR(1)

# Why augment the grammar?

Consider  $E \rightarrow F + E \mid F$   
 $F \rightarrow i$

FOLLOW		
$E$		$\$$
$F$		$\$, +$



	Action			Goto	
	+	i	\$		E F
0	s2				1
1	s3		?		
2	r3		r3		
3	s2				4 1
4			?		

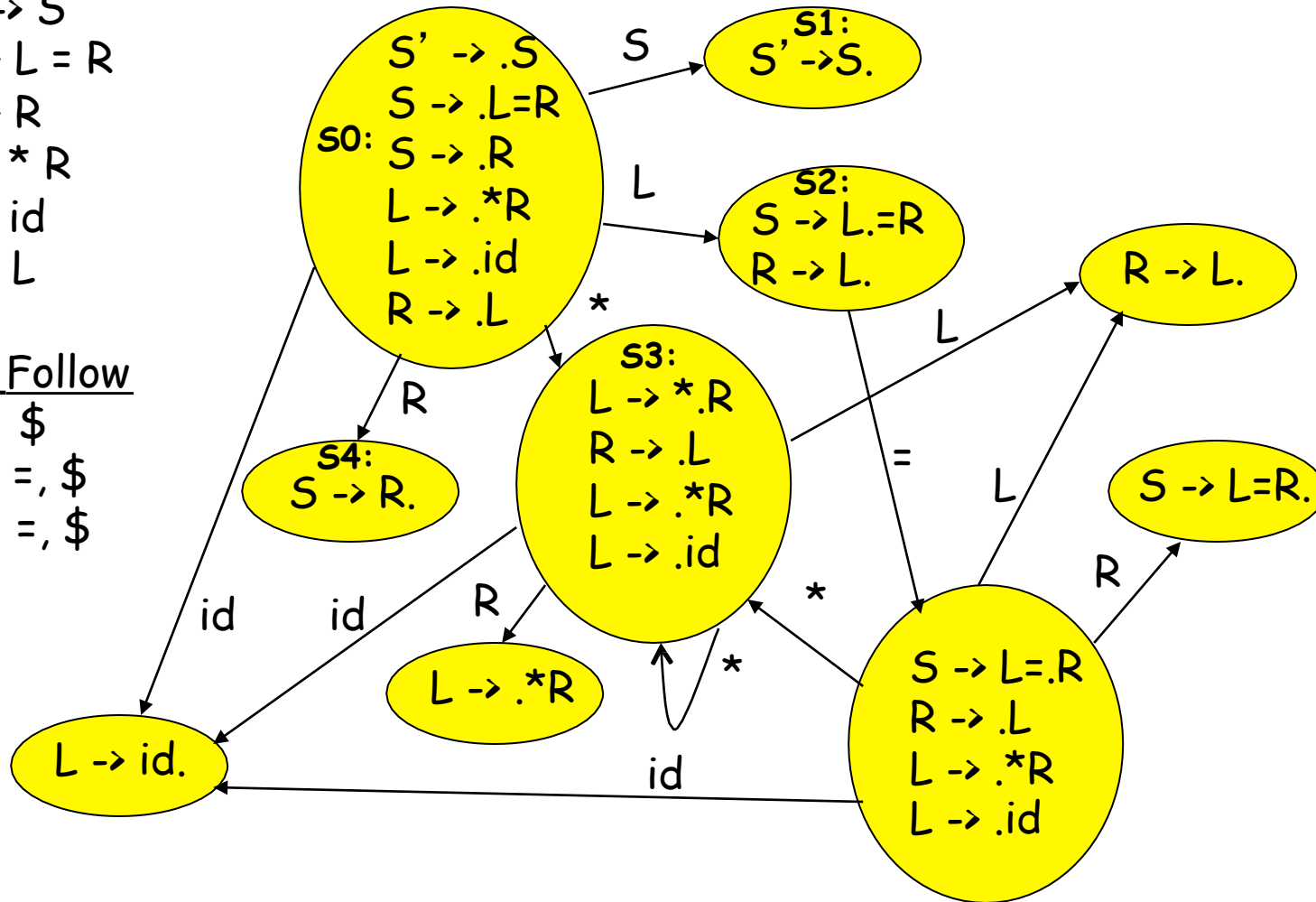
? Reduce  $E \rightarrow F$  or Accept

? Reduce  $E \rightarrow F + E$  or Accept

# Example - not SLR(1)

$S' \rightarrow S$   
 $S \rightarrow L = R$   
 $S \rightarrow R$   
 $L \rightarrow * R$   
 $L \rightarrow id$   
 $R \rightarrow L$

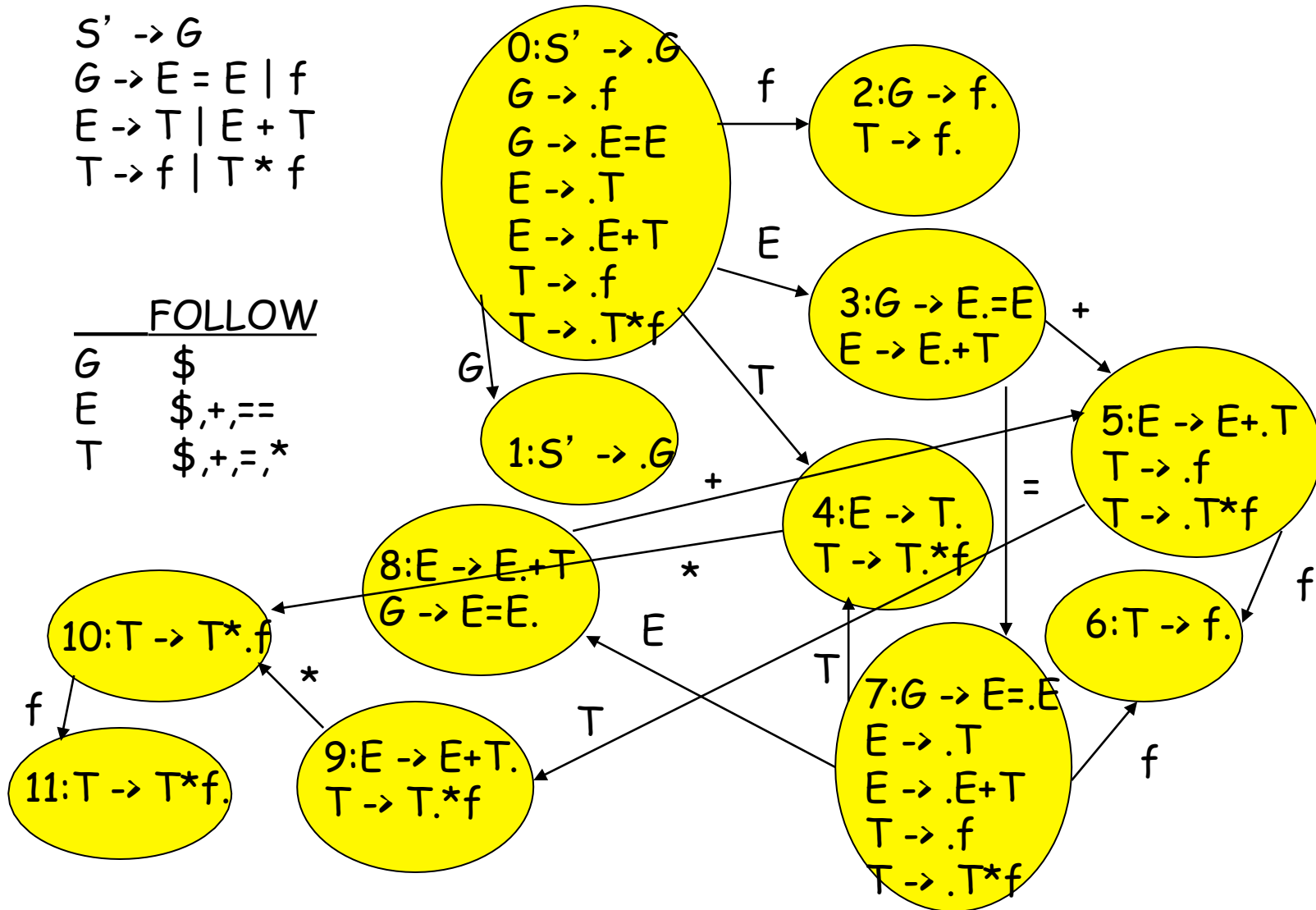
	Follow
S	\$
L	=, \$
R	=, \$



# Another Example - not SLR(1)

$S' \rightarrow G$   
 $G \rightarrow E = E \mid f$   
 $E \rightarrow T \mid E + T$   
 $T \rightarrow f \mid T * f$

	FOLLOW
G	\$
E	\$, +, =
T	\$, +, =, *



# LR(1) Parser (for same grammar)

