## Performance of Stop-and-Wait

### Reliable Transmission

Recover from corrupted and discarded frames

- Error Correcting Codes (ECC) Forward Error Correction (FEC) ← not good enough
- Acknowledgements (ACK) and Timeouts Automatic Repeat reQuest (ARQ)



## Stop-and-Wait

- After tx'ing one frame, the sender waits for an ACK before tx'ing the next frame
- If ACK didn't arrive after a certain period of time, the sender times out and retx'es the original frame



Problem – duplicates (lost ACKs or premature timeout) Solution – **1-bit sequence** # (since a frame can only be confused with the frame before it or the one after it)

Drawback – *low link utilization* Solution – **keep the pipe full** 

Example – 1.5Mbps link × 45ms RTT = 67.5Kb ( $\approx$  8KB). Assuming frame size of 1KB, stop-and-wait uses about  $\frac{1}{8}$  of the link's capacity  $\implies$  want the sender to be able to transmit up to 8 frames before having to wait for an ACK

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## Performance of Stop-and-Wait – No Errors

- Consideration transmission in one direction only
- $\bullet$  Define

F =length of frame (in bits)

- D =length of data (info) field (in bits)
- A =length of ACK (in bits)
- C = link capacity (in bits/sec)

 $\tau$  = one-way propagation delay & processing time (in sec) U = (Link) Utilization = fraction of time that *useful data* is being successfully transmitted

 $= \frac{time \ to \ tx \ data}{total \ time \ to \ tx \ a \ frame}$  $= \frac{D/C}{F/C + \tau + A/C + \tau}$ 

### Performance of Stop-and-Wait – With Errors

Define

- T = Timeout interval
- $P_1 =$ probability a data frame is damaged/lost
- $P_2 =$ probability an ACK frame is damaged/lost
- L = Prob. a data frame or its ACK is damaged/lost
- 1 L =
- so L =

Time to *successfully* transmit a frame

$$= [F/C + 2\tau + A/C] + (F/C + T) * L + (F/C + T) * L^{2}$$
$$+ (F/C + T) * L^{3} + \cdots$$
$$= [F/C + 2\tau + A/C] + (F/C + T) * \frac{L}{1-L}$$

$$U = \frac{D/C}{F/C + 2\tau + A/C + (F/C + T) * L/(1 - L)}$$

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Performance of Stop-and-Wait-4

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## Sliding Window Protocols

**Idea** – Allow sender to transmit *multiple* frames before receiving an ACK  $\implies$  keeping the pipe full  $\implies$  **pipelining** 

Example – Assume  $D \times BW = 8KB$  and frame size = 1KB, we would like the sender to be ready to tx the *9th* frame at about the same time that the ACK for the 1st frame arrives



#### Sender:

- Assign sequence number to each frame (SeqNum)
- Maintain 3 state variables and 1 invariant
  - sending window size (SWS) # of unACKed frames
  - last acknowledgment received (LAR)
  - last frame sent (LFS)
  - invariant: LFS LAR  $\leq$  SWS



- When ACK arrives, advance  $LAR \rightarrow slide$  (advance) window
- Associate a timer with each outstanding frame
- Retx the frame should the timer expire before an ACK is received
- $\bullet$  Buffer up to  ${\tt SWS}$  frames for (potential) retransmission

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#### Receiver:

#### • Maintain 3 state variables and 1 invariant

- receiving window size (RWS) # of out-of-order frames
- last frame acceptable (LFA)
- next frame expected (NFE)
- invariant: LFA NFE + 1  $\leq$  RWS



- Frame SeqNum arrives -
  - if (SeqNum < NFE) or (SeqNum > LFA)  $\Longrightarrow$  discarded
  - $if (NFE \leq SeqNum \leq LFA) \Longrightarrow accept$

Problems –

- errors (damaged/lost frames)
- $\bullet$  finite sequence #
- whether to send ACK if an out-of-order frame is received ?
- $\bullet$  solutions go-back-N and selective repeat

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Performance of Stop-and-Wait-7

# Go-Back-N

- Finite sequence numbers: 0 1 2 3 4  $\cdots$  M
- Maximum sending window size (SWS = w) maximum # of frames *outstanding* (not yet ACKed)
- Receiving window size (RWS) = 1
  - R discards all subsequent frames and sends no ACKs for them
  - S retransmits all unACKed frames starting with the damaged/lost one
- Example SWS (w) = 3 and M = 7

 $0\ 1\ 2\ 3\ 4\ 5\ 6\ 7\ 0\ 1\ 2\ 3\ 4\ 5\ 6\ 7\ 0\ \cdots$ 

- send 0, 1, 2
- send 3 only after ACK 0 received
- send 4 only after ACK 1 received
- $-\cdots$
- Example SWS (w) = M + 1
  - S sends 0 1 2 · · · M
  - S gets ACK0 ACK1 ACK2  $\cdots$  ACKM
  - S sends another incarnation 0.1.2  $\cdots$  M
  - Question Did R acknowledge new frames or resend old ACKs ???

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## Performance of Go-Back-N

Case 1 – No errors and window  $large\ enough$  so we don't have to wait for ACKs

#### • Define

- -w = Maximum Window Size
- -F =length of frame (in bits)
- -D =length of data (info) field (in bits)
- -C = link capacity (in bits/sec)
- $-\tau =$  one-way propagation delay (in sec)
- -wF/C is the time to tx a *full* window
- 1st frame takes  $F/C+\tau$  to reach receiver
- With a piggybacked ACK, ACK returns after  $2F/C{+}2\tau$
- Window large enough  $\Longrightarrow wF/C \ge 2F/C+2\tau$
- No overhead due to Go-Back-N, except the header

$$-U = \frac{D}{F}$$

Case 2 – No errors and small window to wait for ACKs

 $\bullet$  Send w frames, then wait for ACKs

$$U = \frac{wD/C}{2F/C + 2\tau} = \frac{wD}{2F + 2\tau C}$$



Case 3 – With errors (Oh! No...)

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Performance of Stop-and-Wait-12

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