



# *Parsing VI*

## *The LR(1) Table Construction*



## Building the Canonical Collection

Start from  $s_0 = \text{closure}([S' \rightarrow S, \underline{\text{EOF}}])$

Repeatedly construct new states, until all are found

The algorithm

```
 $s_0 \leftarrow \text{closure}([S' \rightarrow S, \underline{\text{EOF}}])$   
 $S \leftarrow \{s_0\}$   
 $k \leftarrow 1$   
while ( $S$  is still changing)  
   $\forall s_j \in S \text{ and } \forall x \in (T \cup NT)$   
     $s_k \leftarrow \text{goto}(s_j, x)$   
    record  $s_j \rightarrow s_k$  on  $x$   
  if  $s_k \notin S$  then  
     $S \leftarrow S \cup s_k$   
     $k \leftarrow k + 1$ 
```

- Fixed-point computation
- Loop adds to  $S$
- $S \subseteq 2^{(\text{LR ITEMS})}$ , so  $S$  is finite



## Example from SheepNoise

Starts with  $S_0$

$s_0 \leftarrow \text{closure}(\{ [\textit{Goal} \rightarrow \cdot \textit{Expr} , \text{EOF}] \} )$

```
 $s_0 \leftarrow \text{closure}([S' \rightarrow S, \underline{\text{EOF}}])$   
 $S \leftarrow \{ s_0 \}$   
 $k \leftarrow 1$   
while (  $S$  is still changing )  
   $\forall s_j \in S$  and  $\forall x \in (T \cup NT)$   
     $s_k \leftarrow \text{goto}(s_j, x)$   
    record  $s_j \rightarrow s_k$  on  $x$   
  if  $s_k \notin S$  then  
     $S \leftarrow S \cup s_k$   
     $k \leftarrow k + 1$ 
```



## Example from SheepNoise

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Starts with  $S_0$

$$s_0 \leftarrow \text{closure}(\{ [Goal \rightarrow \cdot Expr, EOF] \} )$$
$$\{ [Goal \rightarrow \cdot SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa} SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa}, \underline{EOF}] \}$$



## Example from SheepNoise

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Starts with  $S_0$

$S_0 : \{ [Goal \rightarrow \cdot SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa} SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa}, \underline{EOF}] \}$

Iteration 1 computes

$S_1 = Goto(S_0, SheepNoise)$



## Example from SheepNoise

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Starts with  $S_0$

$S_0 : \{ [Goal \rightarrow \cdot SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa} SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa}, \underline{EOF}] \}$

Iteration 1 computes

$S_1 = Goto(S_0, SheepNoise) = \{ [Goal \rightarrow SheepNoise \cdot, \underline{EOF}] \}$

$S_2 = Goto(S_0, \underline{baa})$



## Example from SheepNoise

---

Starts with  $S_0$

$S_0 : \{ [Goal \rightarrow \cdot SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa} SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa}, \underline{EOF}] \}$

Iteration 1 computes

$S_1 = Goto(S_0, SheepNoise) = \{ [Goal \rightarrow SheepNoise \cdot, \underline{EOF}] \}$

$S_2 = Goto(S_0, \underline{baa}) = \{ [SheepNoise \rightarrow \underline{baa} \cdot, \underline{EOF}], [SheepNoise \rightarrow \underline{baa} \cdot SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa}, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa} SheepNoise, \underline{EOF}] \}$



## Example from SheepNoise

---

Starts with  $S_0$

$S_0 : \{ [Goal \rightarrow \cdot SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa} SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa}, \underline{EOF}] \}$

Iteration 1 computes

$S_1 = Goto(S_0, SheepNoise) = \{ [Goal \rightarrow SheepNoise \cdot, \underline{EOF}] \}$

$S_2 = Goto(S_0, \underline{baa}) = \{ [SheepNoise \rightarrow \underline{baa} \cdot, \underline{EOF}], [SheepNoise \rightarrow \underline{baa} \cdot SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa}, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa} SheepNoise, \underline{EOF}] \}$

Iteration 2 computes

$Goto(S_2, \underline{baa})$  creates  $S_2$

$S_3 = Goto(S_2, \underline{SheepNoise}) = \{ [SheepNoise \rightarrow \underline{baa} SheepNoise \cdot, \underline{EOF}] \}$





## Example from SheepNoise

Starts with  $S_0$

$S_0 : \{ [Goal \rightarrow \cdot SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa} \underline{SheepNoise}, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa}, \underline{EOF}] \}$

Nothing more to compute, since  $\cdot$  is at the end of the item in  $S_3$ .

Iteration 1 computes

$S_1 = Goto(S_0, SheepNoise) = \{ [Goal \rightarrow SheepNoise \cdot, \underline{EOF}] \}$

$S_2 = Goto(S_0, \underline{baa}) = \{ [SheepNoise \rightarrow \underline{baa} \cdot, \underline{EOF}], [SheepNoise \rightarrow \underline{baa} \cdot SheepNoise, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa}, \underline{EOF}], [SheepNoise \rightarrow \cdot \underline{baa} SheepNoise, \underline{EOF}] \}$

Iteration 2 computes

$Goto(S_2, \underline{baa})$  creates  $S_2$

$S_3 = Goto(S_2, \underline{SheepNoise}) = \{ [SheepNoise \rightarrow \underline{baa} SheepNoise \cdot, \underline{EOF}] \}$



# Example

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Simplified, right recursive expression grammar

*Goal*  $\rightarrow$  *Expr*  
*Expr*  $\rightarrow$  *Term* - *Expr*  
*Expr*  $\rightarrow$  *Term*  
*Term*  $\rightarrow$  *Factor* \* *Term*  
*Term*  $\rightarrow$  *Factor*  
*Factor*  $\rightarrow$  *ident*



## Example

*(building the collection)*

### Initialization Step

$s_0 \leftarrow \text{closure}(\{ [Goal \rightarrow \cdot Expr, EOF] \} )$

$Goal \rightarrow Expr$

$Expr \rightarrow Term - Expr$

$Expr \rightarrow Term$

$Term \rightarrow Factor * Term$

$Term \rightarrow Factor$

$Factor \rightarrow \underline{ident}$



## Example

*(building the collection)*

### Initialization Step

$$s_0 \leftarrow \text{closure}(\{ [Goal \rightarrow \cdot Expr, EOF] \} )$$
$$\{ [Goal \rightarrow \cdot Expr, EOF], [Expr \rightarrow \cdot Term - Expr, EOF],$$
$$[Expr \rightarrow \cdot Term, EOF], [Term \rightarrow \cdot Factor * Term, EOF],$$
$$[Term \rightarrow \cdot Factor * Term, -], [Term \rightarrow \cdot Factor, EOF],$$
$$[Term \rightarrow \cdot Factor, -], [Factor \rightarrow \cdot \underline{ident}, EOF],$$
$$[Factor \rightarrow \cdot \underline{ident}, -], [Factor \rightarrow \cdot \underline{ident}, *] \}$$
$$S \leftarrow \{s_0\}$$



## Example

(building the collection)

### Initialization Step

$s_0 \leftarrow \text{closure}(\{ [Goal \rightarrow \cdot Expr, EOF] \})$

$\{ [Goal \rightarrow \cdot Expr, EOF], [Expr \rightarrow \cdot Term - Expr, EOF],$   
 $[Expr \rightarrow \cdot Term, EOF], [Term \rightarrow \cdot Factor * Term, EOF],$   
 $[Term \rightarrow \cdot Factor * Term, -], [Term \rightarrow \cdot Factor, EOF],$   
 $[Term \rightarrow \cdot Factor, -], [Factor \rightarrow \cdot \underline{ident}, EOF],$   
 $[Factor \rightarrow \cdot \underline{ident}, -], [Factor \rightarrow \cdot \underline{ident}, *] \}$

$S \leftarrow \{s_0\}$

$s_0 \leftarrow \text{closure}([S' \rightarrow S, \underline{EOF}])$

$S \leftarrow \{s_0\}$

$k \leftarrow 1$

*while* ( $S$  is still changing)

$\forall s_j \in S \text{ and } \forall x \in (T \cup NT)$

$s_k \leftarrow \text{goto}(s_j, x)$

record  $s_j \rightarrow s_k$  on  $x$

*if*  $s_k \notin S$  *then*

$S \leftarrow S \cup s_k$

$k \leftarrow k + 1$



## Example

*(building the collection)*

### Iteration 1

$s_1 \leftarrow \text{goto}(s_0, \text{Expr})$   
 $s_2 \leftarrow \text{goto}(s_0, \text{Term})$   
 $s_3 \leftarrow \text{goto}(s_0, \text{Factor})$   
 $s_4 \leftarrow \text{goto}(s_0, \underline{\text{ident}})$

Let's just create sets  $s_1$  through  $s_4$

### Iteration 2

$s_5 \leftarrow \text{goto}(s_2, -)$   
 $s_6 \leftarrow \text{goto}(s_3, *)$

### Iteration 3

$s_7 \leftarrow \text{goto}(s_5, \text{Expr})$   
 $s_8 \leftarrow \text{goto}(s_6, \text{Term})$

$s_0 \leftarrow \text{closure}([S' \rightarrow S, \underline{\text{EOF}}])$   
 $S \leftarrow \{s_0\}$   
 $k \leftarrow 1$   
*while* ( $S$  is still changing)  
   $\forall s_j \in S$  and  $\forall x \in (T \cup NT)$   
     $s_k \leftarrow \text{goto}(s_j, x)$   
    record  $s_j \rightarrow s_k$  on  $x$   
  if  $s_k \notin S$  then  
     $S \leftarrow S \cup s_k$   
     $k \leftarrow k + 1$



# Example

(Summary)

$S_0 : \{ [Goal \rightarrow \cdot Expr, EOF], [Expr \rightarrow \cdot Term - Expr, EOF],$   
 $[Expr \rightarrow \cdot Term, EOF], [Term \rightarrow \cdot Factor * Term, EOF],$   
 $[Term \rightarrow \cdot Factor * Term, -], [Term \rightarrow \cdot Factor, EOF],$   
 $[Term \rightarrow \cdot Factor, -], [Factor \rightarrow \cdot \underline{ident}, EOF],$   
 $[Factor \rightarrow \cdot \underline{ident}, -], [Factor \rightarrow \cdot \underline{ident}, *] \}$

$S_1 : \{ [Goal \rightarrow Expr \cdot, EOF] \}$

$S_2 : \{ [Expr \rightarrow Term \cdot - Expr, EOF], [Expr \rightarrow Term \cdot, EOF] \}$

$S_3 : \{ [Term \rightarrow Factor \cdot * Term, EOF], [Term \rightarrow Factor \cdot * Term, -],$   
 $[Term \rightarrow Factor \cdot, EOF], [Term \rightarrow Factor \cdot, -] \}$

$S_4 : \{ [Factor \rightarrow \underline{ident} \cdot, EOF], [Factor \rightarrow \underline{ident} \cdot, -], [Factor \rightarrow \underline{ident} \cdot, *] \}$

$S_5 : \{ [Expr \rightarrow Term - \cdot Expr, EOF], [Expr \rightarrow \cdot Term - Expr, EOF],$   
 $[Expr \rightarrow \cdot Term, EOF], [Term \rightarrow \cdot Factor * Term, -],$   
 $[Term \rightarrow \cdot Factor, -], [Term \rightarrow \cdot Factor * Term, EOF],$   
 $[Term \rightarrow \cdot Factor, EOF], [Factor \rightarrow \cdot \underline{ident}, *],$   
 $[Factor \rightarrow \cdot \underline{ident}, -], [Factor \rightarrow \cdot \underline{ident}, EOF] \}$



## Example

(Summary)

$S_6 : \{ [Term \rightarrow Factor * \cdot Term, EOF], [Term \rightarrow Factor * \cdot Term, -],$   
 $[Term \rightarrow \cdot Factor * Term, EOF], [Term \rightarrow \cdot Factor * Term, -],$   
 $[Term \rightarrow \cdot Factor, EOF], [Term \rightarrow \cdot Factor, -],$   
 $[Factor \rightarrow \cdot \underline{ident}, EOF], [Factor \rightarrow \cdot \underline{ident}, -], [Factor \rightarrow \cdot \underline{ident}, *] \}$

$S_7 : \{ [Expr \rightarrow Term - Expr \cdot, EOF] \}$

$S_8 : \{ [Term \rightarrow Factor * Term \cdot, EOF], [Term \rightarrow Factor * Term \cdot, -] \}$





# Example

(Summary)

The Goto Relationship (*from the construction*)

State	Expr	Term	Factor	-	*	<u>Ident</u>
0	1	2	3			4

Iteration 1

$s_1 \leftarrow goto(s_0, Expr)$

$s_2 \leftarrow goto(s_0, Term)$

$s_3 \leftarrow goto(s_0, Factor)$

$s_4 \leftarrow goto(s_0, \underline{ident})$



## Example

(Summary)

The Goto Relationship (*from the construction*)

State	Expr	Term	Factor	-	*	<u>Ident</u>
0	1	2	3			4
1						
2				5		
3					6	
4						

Iteration 2

$$s_5 \leftarrow goto(s_2, -)$$

$$s_6 \leftarrow goto(s_3, *)$$



## Example

(Summary)

The Goto Relationship (*from the construction*)

State	Expr	Term	Factor	-	*	<u>Ident</u>
0	1	2	3			4
1						
2				5		
3					6	
4						
5	7	2	3			4
6		8	3			4
7						
8						

Iteration 3

$s_7 \leftarrow goto(s_5, Expr)$

$s_8 \leftarrow goto(s_6, Term)$

*Iteration also creates duplicate states 2, 3, and 4.*



## Filling in the ACTION and GOTO Tables

The algorithm

$\forall$  set  $s_x \in S$  x is the state number  
     $\forall$  item  $i \in s_x$   
        if  $i$  is  $[A \rightarrow \beta \cdot \underline{a}d, \underline{b}]$  and  $\text{goto}(s_x, \underline{a}) = s_k, \underline{a} \in T$   
            then  $\text{ACTION}[x, \underline{a}] \leftarrow \text{"shift } k\text{"}$   
        else if  $i$  is  $[A \rightarrow \beta \cdot, \underline{a}]$   
            then  $\text{ACTION}[x, \underline{a}] \leftarrow \text{"reduce } A \rightarrow \beta\text{"}$   
        else if  $i$  is  $[S' \rightarrow S \cdot, \text{EOF}]$   
            then  $\text{ACTION}[x, \underline{a}] \leftarrow \text{"accept"}$   
     $\forall n \in NT$   
        if  $\text{goto}(s_x, n) = s_k$   
            then  $\text{GOTO}[x, n] \leftarrow k$

Many items generate no table entry

→  $\text{Closure}()$  instantiates  $\text{FIRST}(X)$  directly for  $[A \rightarrow \beta \cdot X \delta, \underline{a}]$



## Example

*(Filling in the tables)*

The algorithm produces the following table

	ACTION				GOTO		
	<u>Ident</u>	-	*	EOF	<i>Expr</i>	<i>Term</i>	<i>Factor</i>
0	s 4				1	2	3
1				acc			
2		s 5		r 3			
3		r 5	s 6	r 5			
4		r 6	r 6	r 6			
5	s 4				7	2	3
6	s 4					8	3
7				r 2			
8		r 4		r 4			

*Plugs into the skeleton LR(1) parser*



## What can go wrong?

What if set  $s$  contains  $[A \rightarrow \beta \cdot \underline{a} \gamma, \underline{b}]$  and  $[B \rightarrow \beta \cdot, \underline{a}]$  ?

- First item generates "shift", second generates "reduce"
- Both define  $ACTION[s, \underline{a}]$  — cannot do both actions
- This is a fundamental ambiguity, called a *shift/reduce error*
- Modify the grammar to eliminate it (if-then-else)
- Shifting will often resolve it correctly

EaC includes a  
worked example

What if set  $s$  contains  $[A \rightarrow \gamma \cdot, \underline{a}]$  and  $[B \rightarrow \gamma \cdot, \underline{a}]$  ?

- Each generates "reduce", but with a different production
- Both define  $ACTION[s, \underline{a}]$  — cannot do both reductions
- This fundamental ambiguity is called a *reduce/reduce error*
- Modify the grammar to eliminate it

*In either case, the grammar is not LR(1)*



# Shrinking the Tables

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Three options:

- Combine terminals such as number & identifier, + & -, \* & /
  - Directly removes a column, may remove a row
  - For expression grammar, 198 (vs. 384) table entries
- Combine rows or columns
  - Implement identical rows once & remap states
  - Requires extra indirection on each lookup
  - Use separate mapping for ACTION & for GOTO
- Use another construction algorithm
  - Both LALR(1) and SLR(1) produce smaller tables
  - Implementations are readily available