

# Lexical Analysis - An Introduction





The front end is not monolithic





#### Scanner

Maps stream of characters into words

 Basic unit of syntax
 x = x + y ; becomes set of tokens <type, lexeme>
 (id,x> <eq,=> <id,x> <pl,+> <id,y> <sc,; >

Where is Lexical Analysis Used?

For traditional languages but where else...

- Web page "compilation"
  - Lexical Analysis of HTML, XML, etc.
- Natural Language Processing
- Game Scripting Engines
- OS Shell Command Line
- GREP
- Prototyping high-level languages
- JavaScript, Perl, Python



#### The Big Picture



Why study lexical analysis?

- We want to avoid writing scanners by hand
- We want to harness the theory from classes like CISC 303

Goals:

- $\rightarrow$  To simplify specification & implementation of scanners
- $\rightarrow$  To understand the underlying techniques and technologies





Powerful notation to specify lexical rules

- Simplifies scanner construction
- Notation describes set of strings over some alphabet
- Entire set of strings called the language
- If r is an RE, L(r) is the language it specifies

Regular Expressions (more formally)

- Over some alphabet  $\boldsymbol{\Sigma}$
- $\epsilon$  is a RE denoting the empty set
- If  $\underline{a}$  is in  $\Sigma$ , then  $\underline{a}$  is a RE denoting  $\{\underline{a}\}$



Regular Expressions (more formally)

### Given sets R and S

- Closure:  $R^*$  is an RE denoting  $\cup_{0 \le i \le \infty} R^i$
- Concatenation: RS is an RE denoting  $\{st \mid s \in R \text{ and } t \in S\}$
- Alternation: R | S is an RE denoting
   {s | s ∈ R or s ∈ S}
   - Often written R ∪ S

Note: Precedence is *closure*, then *concatenation*, then *alternation* 



## Examples of Regular Expressions



Letter	$\rightarrow$ (a b c   z A B C   Z)
Digit	→ (0 1 2   9)
Identifier	→ Letter ( Letter   Digit )*

### Numbers:

Integer  $\rightarrow$  (+|-| $\epsilon$ ) (0| (1|2|3| ... |9)(Digit\*) ) Decimal  $\rightarrow$  Integer . Digit\* Real  $\rightarrow$  (Integer | Decimal )  $\underline{E}$  (+|-| $\epsilon$ ) Digit\* Complex  $\rightarrow$  (Real , Real )

Numbers can get much more complicated!





REs are used to specify the words to be translated to parts of speech by a lexical analyzer

Using results from automata theory and theory of algorithms, we can **automatically** build **recognizers** (i.e. scanners) from regular expressions

You may have seen this construction in a Automata Course

We study REs and associated theory to automate scanner construction !



What is the regular expression for a register name?

Examples: r1, r25,  $r999 \leftarrow$  These are OK.

 $r, s1, a25 \leftarrow These are <u>not</u> OK.$ 



Consider the problem of recognizing register names

*Register*  $\rightarrow$  r (0|1|2| ... | 9) (0|1|2| ... | 9)\*

- Allows registers of arbitrary number
- Requires at least one digit



- An abstract machine that corresponds to a particular RE
- Recognizers can scan a stream of symbols to find words



Transition Diagram for Number



An FA is a five-tuple  $(S, \Sigma, \partial, s_o, S_F)$  where

- S is the set of states
- $\Sigma$  is the alphabet
- $\bullet \partial$  a set of transition functions
  - takes a state and a character and returns new state
- $s_o$  is the start state
- $S_F$  is the set of final states





Transition Diagram for Number