

# **Applied Symbolic Computation**

**(CS 567)**

**Fast Polynomial and Integer Multiplication**

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# Introduction

- **Objective:** To obtain fast algorithms for polynomial and integer multiplication based on the FFT. In order to do this we will compute the FFT over a finite field. The existence of FFTs over  $Z_p$  is related to the prime number theorem.
  - Polynomial multiplication using interpolation
  - Feasibility of mod  $p$  FFTs
  - Fast polynomial multiplication
  - Fast integer multiplication (3 primes algorithm)

**References:** Lipson, Cormen et al.

# Polynomial Multiplication using Interpolation

- Compute  $C(x) = A(x)B(x)$ , where  $\text{degree}(A(x)) = m$ , and  $\text{degree}(B(x)) = n$ .  $\text{Degree}(C(x)) = m+n$ , and  $C(x)$  is uniquely determined by its value at  $m+n+1$  distinct points.
- [Evaluation] Compute  $A(\alpha_i)$  and  $B(\alpha_i)$  for distinct  $\alpha_i$ ,  $i=0, \dots, m+n$ .
- [Pointwise Product] Compute  $C(\alpha_i) = A(\alpha_i) * B(\alpha_i)$  for  $i=0, \dots, m+n$ .
- [Interpolation] Compute the coefficients of  $C(x) = c_n x^{m+n} + \dots + c_1 x + c_0$  from the points  $C(\alpha_i) = A(\alpha_i) * B(\alpha_i)$  for  $i=0, \dots, m+n$ .

# Primitive Element Theorem

**Theorem.** Let  $F$  be a finite field with  $q = p^k$  elements. Let  $F^*$  be the  $q-1$  non-zero elements of  $F$ . Then  $F^* = \langle \alpha \rangle = \{1, \alpha, \alpha^2, \dots, \alpha^{q-2}\}$  for some  $\alpha \in F^*$ .  $\alpha$  is called a *primitive element*.

In particular there exist a primitive element for  $Z_p$  for all prime  $p$ .

**E.G.**

$$(Z_5)^* = \{1, 2, 2^2=4, 2^3=3\}$$

$$(Z_{17})^* = \{1, 3, 3^2 = 9, 3^3 = 10, 3^4 = 13, 3^5 = 5, 3^6 = 15, 3^7 = 11, 3^8 = 16, 3^9 = 14, 3^{10} = 8, 3^{11} = 7, 3^{12} = 4, 3^{13} = 12, 3^{14} = 2, 3^{15} = 6\}$$

# Modular Discrete Fourier Transform

- The  $n$ -point DFT is defined over  $Z_p$  if there is a primitive  $n$ th root of unity in  $Z_p$  (same is true for any finite field)
- Let  $\omega$  be a primitive  $n^{\text{th}}$  root of unity.

$$F_n = \begin{bmatrix} 1 & 1 & \dots & 1 \\ 1 & \omega^1 & \dots & \omega^{n-1} \\ \dots & \dots & \dots & \dots \\ 1 & \omega^{n-1} & \dots & \omega^{(n-1)(n-1)} \end{bmatrix}$$

## Example

$$F_4 = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & i & -1 & -i \\ 1 & -1 & 1 & -1 \\ 1 & -i & -1 & i \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 4 & 3 \\ 1 & 4 & 1 & 4 \\ 1 & 3 & 4 & 2 \end{bmatrix} \text{ over } \mathbf{Z}_5$$

$$F_4 = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 13 & 16 & 4 \\ 1 & 16 & 1 & 16 \\ 1 & 4 & 16 & 13 \end{bmatrix} \text{ over } \mathbf{Z}_{17}$$

# Fast Fourier Transform

Assume that  $n = 2m$ , then

$$F_{2m} = (F_2 \otimes I_m)(I_m \oplus W_m)(I_2 \otimes F_m)L_2^{2m}$$
$$W_m = \text{diag}(1, \omega^1, \dots, \omega^{m-1})$$

Let  $T(n)$  be the computing time of the FFT and assume that  $n=2^k$ , then

$$T(n) = 2T(n/2) + \Theta(n)$$

$$T(n) = \Theta(n \log n)$$

# FFT Factorization over $\mathbb{Z}_5$

$$\begin{aligned}
 F_4 &= \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 4 & 3 \\ 1 & 4 & 1 & 4 \\ 1 & 3 & 4 & 2 \end{bmatrix} \\
 &= \begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 4 & 0 \\ 0 & 1 & 0 & 4 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 2 \end{bmatrix} \begin{bmatrix} 1 & 1 & 0 & 0 \\ 1 & 4 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 4 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \\
 &= (F_2 \otimes I_2) T_2^4 (F_2 \otimes I_2) L_2^4
 \end{aligned}$$



# Inverse DFT

$$F_n^{-1} = (1/n) F_n(\omega^{-1})$$

$$= 1/n \begin{bmatrix} 1 & 1 & \dots & 1 \\ 1 & \omega^{-1} & \dots & \omega^{-(n-1)} \\ \dots & \dots & \dots & \dots \\ 1 & \omega^{-(n-1)} & \dots & \omega^{-(n-1)(n-1)} \end{bmatrix}$$

# Example

$$F_4^{-1} = (1/4) \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 3 & 4 & 2 \\ 1 & 4 & 1 & 4 \\ 1 & 2 & 4 & 3 \end{bmatrix} \text{ over } \mathbf{Z}_5$$

$$\begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 4 & 3 \\ 1 & 4 & 1 & 4 \\ 1 & 3 & 4 & 2 \end{bmatrix} \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 3 & 4 & 2 \\ 1 & 4 & 1 & 4 \\ 1 & 2 & 4 & 3 \end{bmatrix} = \begin{bmatrix} 4 & 0 & 0 & 0 \\ 0 & 4 & 0 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 4 \end{bmatrix}$$

# Feasibility of mod p FFTs

**Theorem:**  $Z_p$  has a primitive  $N$ th root of unity iff  $N|(p-1)$

**Proof.** By the primitive element theorem there exist an element  $\alpha$  of order  $(p-1)$   $Z_p$ . If  $p-1 = qN$ , then  $\alpha^q$  is an  $N$ th root of unity.

To compute a mod  $p$  FFT of size  $2^m$ , we must find  $p = 2^e k + 1$  ( $k$  odd), where  $e \geq m$ .

**Theorem.** Let  $a$  and  $b$  be relatively prime integers. The number of primes  $\leq x$  in the arithmetic progression  $ak + b$  ( $k=1,2,\dots$ ) is approximately (somewhat greater)  $(x/\log x)/\phi(a)$

# Fast Polynomial Multiplication

- Compute  $C(x) = a(x)b(x)$ , where  $\text{degree}(a(x)) = m$ , and  $\text{degree}(b(x)) = n$ .  $\text{Degree}(c(x)) = m+n$ , and  $c(x)$  is uniquely determined by its value at  $m+n+1$  distinct points. Let  $N \geq m+n+1$ .
- [Fourier Evaluation] Compute  $\text{FFT}(N, a(x), \omega, A)$ ;  $\text{FFT}(N, b(x), \omega, B)$ .
- [Pointwise Product] Compute  $C_k = 1/N A_k * B_k$ ,  $k=0, \dots, N-1$ .
- [Fourier Interpolation] Compute  $\text{FFT}(N, C, \omega^{-1}, c(x))$ .

# Fast Modular and Integral Polynomial Multiplication

- If  $Z_p$  has a primitive  $N$ th root of unity then the previous algorithm works fine.
- If  $Z_p$  does not have a primitive  $N$ th root of unity, find a  $q$  that does and perform the computation in  $Z_{pq}$ , then reduce the coefficients mod  $p$ .
- In  $Z[x]$  use a set of primes  $p_1, \dots, p_t$  that have an  $N$ th root of unity with  $p_1 * \dots * p_t \geq$  size of the resulting integral coefficients (this can easily be computed from the input polynomials) and then use the CRT

# Fast Integer Multiplication

- Let  $A = (a_{n-1}, \dots, a_1, a_0)_\beta = a_{n-1}\beta^{n-1} + \dots + a_1\beta + a_0$   
 $B = (b_{n-1}, \dots, b_1, b_0)_\beta = b_{n-1}\beta^{n-1} + \dots + b_1\beta + b_0$
- $C = AB = c(\beta) = a(\beta)b(\beta)$ , where  $a(x) = a_{n-1}x^{n-1} + \dots + a_1x + a_0$ ,  
 $b(x) = b_{n-1}x^{n-1} + \dots + b_1x + b_0$ , and  $c(x) = a(x)b(x)$ .
- **Idea: Compute  $a(x)b(x)$  using FFT-based polynomial multiplication and then evaluate the result at  $\beta$ .  
Computation will be performed mod  $p$  for several word sized “Fourier” primes and the Chinese Remainder Theorem will be used to recover the integer product.**

# Three Primes Algorithm

- Compute  $C = AB$ , where  $\text{length}(A) = m$ , and  $\text{length}(B) = n$ . Let  $a(x)$  and  $b(x)$  be the polynomials whose coefficients are the digits of  $A$  and  $B$  respectively
- The algorithm requires  $K$  “Fourier primes”  $p = 2^e k + 1$  for sufficiently large  $e$
- [Polynomial multiplication] Compute  $c_i(x) = a(x)b(x) \bmod p_i$  for  $i=1, \dots, K$  using FFT-based polynomial multiplication.
- [CRT] Compute  $c(x) \equiv c_i(x) \pmod{p_i}$   $i=1, \dots, K$ .
- [Evaluation at radix]  $C = c(\beta)$ .

# Analysis of Three Primes Algorithm

- **Determine K**

- Since the  $k$ th coefficient of  $c(x)$ ,  $c_k = \sum_{i+j=k} a_i b_j$ , the coefficients of  $c(x)$  are bounded by  $n\beta^2$
- Therefore, we need the product  $p_1 \dots p_K \geq n\beta^2$
- If we choose  $p_i > \beta$ , then this is true if  $\beta^K \geq n\beta^2$
- Assuming  $n < \beta$  [ $\beta$  is typically wordsize - for 32-bit words,  $\beta \approx 10^9$ ], only 3 primes are required

**Theorem.** Assume that mod  $p$  operations can be performed in  $O(1)$  time. Then the 3-primes algorithm can multiply two  $n$ -digit numbers in time  $O(n \log n)$  provided:

- $n < \beta$
- $n \leq 2^{E-1}$ , where three Fourier primes  $p = 2^e k + 1$  ( $p > \beta$ ) can be found with  $e \geq E$  (need to perform the FFT of size  $2n$ )



# Limitations of 3 Primes Algorithms

- If we choose the primes to be wordsize for 32-bit words

- $\beta < p_i < W = 2^{31}-1$
- $\beta = 10^9$
- $n \leq 2^{E-1} = 2^{23} \approx 8.38 \times 10^6$

$p = 2^e k + 1$ (k odd)	e	Least primitive element $\alpha$
2013265921	27	31
2113929217	25	5
2130706433	24	3